# CS:5810 Formal Methods in Software Engineering 

## Modeling in Alloy: Academia Model

## "Academia" Modeling Example

- We will model an academic enterprise expressing relationships between
- People
- Faculty
- Students
- Graduate
- Undergraduate
- Instructors - which can be grad students or faculty
- Courses
- Academic departments
- Personal ID numbers


## Strategy

- Build and validate your model incrementally
-Start with basic signatures and fields
- Add basic constraints
- Instantiate the model and study the results
- Probe the model with assertions


## Strategy

- Add groups of features at a time
- New signatures and fields
- New constraints
- Confirm previous assertions
- Probe new features with assertions


## Basic Components

- People
- Students: Undergrads and Grads
- Instructors: Faculty and Grads
- Courses
- Relationships
- One instructor teaches a course
- One or more students are taking a course
- Students can be waiting for for course


## Academia Signatures

```
abstract sig Person {}
sig Faculty extends Person {}
abstract sig Student extends Person {}
sig Graduate, Undergrad extends Student {}
```



```
sig Course {}
We are not specifying here that
instructors can only be graduate
students or faculty. We will do
that later with a "fact" constraint.
```


## Academia Fields

- Only one instructor teaches a course
- 2 choices:
sig Instructor in Person \{ teaches: set Course \}
fact oneInstrucPerCourse \{
all c: Course | one teaches.c \}

```
sig Course {
    taughtby: one Instructor }
```


## Course Fields

- Only one instructor teaches a course
- One or more students are taking a course
- Students can be waiting for a course


## Course Fields

- Only one instructor teaches a course
- One or more students are taking a course
- Students can be waiting for a course



## Dependent Relations

- We may choose to define dependent fields as auxiliary relations instead:

```
teaches (transpose of taughtby)
taking (transpose of enrolled)
waitingfor (transpose of waitlist)
fun teaches []: Instructor -> Course { ~taughtby }
fun taking []: Student -> Course { ~enrolled }
fun waitingfor []: Student -> Course { ~waitlist }
```

- Or we may choose not to have them at all:
if $i$ is an instructor,

$$
\text { i.teaches }=\text { taughtby.i }
$$

## Note

- Let i be an Instructor
- Let taughtby be the following binary relation
-taughtby: Course -> one Instructor
- The following expressions denote the same set of courses
-taugthby.i
-i.~taugthby
-i[taugthby]


## Academia Constraints

- All instructors are either faculty or graduate students
- Was not expressed in signature definition - although it could have:
sig Instructor in Graduate + Faculty
- No one is waiting for a course unless someone is enrolled
- No graduate students teach a course that they are enrolled in


## Academia Constraints

## fact \{

-- All instructors are either Faculty or Graduate Students
-- no one is waiting for a course unless someone is enrolled
-- graduate students do not teach courses they are enrolled in or waiting to enroll in

## Academia Constraints

```
fact {
    -- All instructors are either Faculty or Graduate Students
    a11 i: Instructor | i in Faculty + Graduate
    -- no one is waiting for a course unless someone is enrolled
a11 c: Course |
    some c.waitlist implies some c.enrolled
    -- graduate students do not teach courses they are enrolled in or waiting to enroll in
    a11 c: Course |
        c.taughtby !in c.enrolled + c.waitlist
}
```


## Academia Realism Constraints

- There is a graduate student who is an instructor
- There are at least:
- Two courses and
- Three undergraduates


## Academia Realism Constraints

Can be added to the model as facts, or just put in a run command to instruct the Alloy Analyzer to ignore unrealistic instances

```
pred RealismConstraints [] {
    -- there is a graduate student who is an instructor
    some Graduate & Instructor
    -- there are at least two courses
    #Course > 1
    -- there are at least three undergraduates
    #Undergrad > 2
}
```


## Academia Assertions

Let's check if our model has these properties:

- No instructors are on the waitlist for a course they teach
- No student is enrolled and on the waitlist for the same course


## Academia Assertions

-- no instructors are on the waitlist for a course they teach
-- no student is enrolled and on the waitlist
-- for the same course

## Academia Assertions

```
-- no instructors are on the waitlist for a course they teach
assert NoWaitingTeacher {
    all c: Course |
        no (c.taughtby & c.waitlist)
}
-- no student is enrolled and on the waitlist
-- for the same course
assert NoEnrolledAndWaiting {
    all c: Course |
        no (c.enrolled & c.waitlist)
}
```


## Exercises

- Load academia-1.als
- With realism conditions enabled, do any instances exist in the default scopes?
- Manipulate the scopes as necessary to obtain an instance under the realism conditions
- By looking at various sample instances, do you consider the model to be underconstrained in any way?
- Check assertions


## Realism constraints

- No instances exist in the default scope
- Why ?
- default scope:
at most 3 tuples in each top-level signature entails: at most 3 Students
- some Graduate \& Instructor \#Undergrad > 2
entails: at least 4 Students


## Realism Constraints

```
pred [] RealismConstraints
{
    -- there is a graduate student who's an instructor
    some Graduate & Instructor
    -- there are at least two courses
    #Course > 1
    -- there are at least three undergraduates
    #Undergrad > 2
}
run RealismConstraints for 4
```


## Instance

\#Undergrad > 2 \#Undorgrad > 1
Instance found:
Signatures:
Course $=\{$ C0, C1 $\}$
Person = \{U0,U1,G\}
Faculty = \{\}
Student = \{U0,U1,G\}
Undergrad $=\{U 0, U 1\}$
Graduate $=\{G\}$
Instructor $=\{G\}$
Relations:
taughtby $=\{(C 0, G),(C 1, G)\}$
enrolled $=\{(C 0, U 1),(C 1, U 0)\}$
waitlist $=\{(C 1, U 1),(C 1, U 0)\}$

## Need to relate enrollment

 and waiting lists
## Counter-example to assertion

```
Analyzing NoEnrolledAndWaiting ...
Counterexample found:
Signatures:
    Course = {C}
    Person = {G0,G1,F}
    Faculty = {F}
    Student = {G0,G1}
    Undergrad = {}
    Graduate = {G0,G1}
    Instructor = {G0,G1}
Relations:
    taughtby = {(C,G0) }
    enrolled = {(C,G1)}
    waitlist = {(C,G1)}
```


## Academia Assertions

- No student is enrolled and on the waitlist for the same course
- A counterexample has been found, hence we transform this assertion into a fact
- No instructors are on the waitlist for a course they teach - No counterexample


## Academia Assertions

- NoWaitingTeacher assertion
- No counterexample within the default scope
- No counterexample within the scope 4, 5, 6, 10
- Can we conclude that the assertion is valid?
- No! (It might have conterexamples but out of scope)
- But we take comfort in the
- small scope hypothesis: if an assertion is not valid, it probably has a small counterexample


## Why NoWaitingTeacher holds

- Assertion
-- no instructor is on the waitlist for a course that he/she teaches assert NowaitingTeacher \{ a11 c: Course | no (c.taughtby \& c.waitlist) \}
- Facts
-- (i) faculty are not students and (ii) graduate students do not
-- teach courses they are enrolled in or waiting to enroll in
a11 c: Course |
c.taughtby !in c.enrolled + c.waitlist


## Extension 1

- Add an attribute for students
- Unique ID numbers
- This requires a new signature
- Add student transcripts
- Add prerequisite structure for courses


## New Relations

```
sig Id {}
abstract sig Student extends Person {
    id: one Id,
    transcript: set Course
}
sig Graduate, Undergrad extends Student {}
sig Instructor in Person {}
sig Course {
    taughtby: one Instructor,
    enrolled: some Student,
    waitlist: set Student,
    prerequisites: set Course
}
```


## New Constraints

- Each Student is identified by one unique ID
- Exactly one ID per Student
already enforced by multiplicities
- No two distinct students have the same ID
has to be specified as a fact
- A student's transcript contains a course only if it contains the course's prerequisites
- A course does not have itself as a prerequisite
- Realism: there exists a course with prerequisites and with students enrolled


## Academia Constraints

```
fact {
    -- A student’s transcript contains a course on7y
    -- if it contains the course's prerequisites
    a11 s: Student |
        s.transcript.prerequisites in s.transcript
    -- A course does not have itself as a prerequisite
27l-6:-course__ _ Iinmerprerequisites not sufficient!
}
run {
    -- there is a course with prerequisites and
    -- enrolled students
    some c: Course |
        some c.prerequisites and some c.enrolled
}
```


## Academia Constraints

```
fact {
    -- A student’s transcript contains a course on7y
    -- if it contains the course's prerequisites
    a11 s: Student |
        s.transcript.prerequisites in s.transcript
    -- There are no cycles in the prerequisite dependencies
    a11 c: Course | c !in c.^prerequisites
}
run {
    -- there is a course with prerequisites and
    -- enrolled students
    some c: Course |
        some c.prerequisites and some c.enrolled
}
```


## Academia Assertions

- Students can only wait to be in a course for which they already have the prerequisites

```
assert AllWaitsHavePrereqs {
    a11 s: Student |
        (waitlist.s).prerequisites in s.transcript
}
```


## Exercises

- Load academia-2.als
- With realism conditions enabled, do any instances exist in the default scopes?
- Manipulate the scopes as necessary to obtain an instance under the realism conditions
- By looking at various sample instances, do you consider the model to be underconstrained in any way?


## Counter-example

```
Analyzing AllWaitsHavePrereqs ...
Counterexample found:
Signatures:
    Id = {Id0,Id1,Id2}
    Course = {C0,C1}
    Person = {U,G0,G1}
    Faculty = {}
    Student = {U,G0,G1}
    Undergrad = {U}
    Graduate = {G0,G1}
    Instructor = {G0,G1}
Relations:
    taughtby = {(C0,G0),(C1,G0)}
    enrolled = {(C0,U),(C1,G1)}
    waitlist = {(C1,U)}
    prerequisites = {(C1,C0)}
    transcript = {(G1,C0)}
    id = {(U,Id0),(G0,Id2),(G1,Id1)}
    Where is (U,CO)?
```


## New Constraint

## Old Assertion: AllWaitsHavePrereqs

Students can wait only for those courses for which they already have the prerequisites

## Old Fact:

Students can have a course only if they already have the prerequisites

## New Fact:

Students can have, wait for or take a course only if they already have the prerequisites

## New Constraint

New Fact: A student can have, wait for or take a course only if they already have the prerequisites

```
all s: Student |
    (waitlist.s.prerequisites +
        enrolled.s.prerequisites +
        s.transcript.prerequisites) in s.transcript
all s: Student |
    (waitlist.s + enrolled.s + s.transcript).prerequisites
    in s.transcript
```


## Extension 2

- Add Departments, with
- Instructors
- Courses
- Required courses
- Student majors
- Add Faculty-Grad student relationships
- Advisor
- Thesis committee


## Department Relations

- Each instructor is in a single department
- Each department has at least one instructor
- Each department has some courses
- Courses are in a single department
- Each student has a single department as his/her major


## Faculty-Student Relations

- A graduate student has exactly one faculty member as an advisor
- Faculty members serve on graduate students' committees


## New Relations

```
sig Faculty extends Person {
incommittee: set Graduate
}
abstract sig Student extends
Person {
    major: one Department
}
sig Graduate extends Student {
sig Graduate extends S
}
```

```
sig Instructor in Person {
```

sig Instructor in Person {
department: one Department
department: one Department
}
}
sig Department {
sig Department {
course: some Course,
course: some Course,
required: some Course
required: some Course
}

```
    -- Each department has at least one instructor
    all d: Department | some department.d
    -- Each course is in a single department
    all c: Course | one course.c

\section*{New Constraints}
- Advisors are on their advisees' committees
- Students are advised by faculty in their major
- Only faculty can teach required courses
- Faculty members only teach courses in their department
- Required courses for a major are a subset of the courses in that major
- Students must be enrolled in at least one course from their major

\section*{Exercise}
- Express as an Alloy fact each of the new constraints in the previous slide

\section*{Advisors are on their advisees' committees}
```

```
------------------ Signatures and Fields
```

```
------------------ Signatures and Fields
abstract sig Person {} sig Instructor in Person {
abstract sig Person {} sig Instructor in Person {
        department: one Department
        department: one Department
    }
    }
    sig Course {
    sig Course {
    taughtby: one Instructor,
    taughtby: one Instructor,
    enrolled: some Student,
    enrolled: some Student,
    waitlist: set Student,
    waitlist: set Student,
    prerequisites: set Course
    prerequisites: set Course
}
}
sig Id {}
sig Id {}
sig Department {
sig Department {
    courses: some Course,
    courses: some Course,
    required: some Course
    required: some Course
}
```

}

```
```

sig Faculty extends Person {

```
sig Faculty extends Person {
    incommittee: set Graduate
    incommittee: set Graduate
}
}
abstract sig Student extends
abstract sig Student extends
Person {
Person {
    id: one Id,
    id: one Id,
    transcript: set Course,
    transcript: set Course,
    major: one Department
    major: one Department
}
}
sig Undergrad extends Student {}
sig Undergrad extends Student {}
sig Graduate extends Student {
sig Graduate extends Student {
    advisor: one Faculty
    advisor: one Faculty
}
```

}

```

\section*{Students are advised by faculty in their major}
```

    Signatures and Fields
    abstract sig Person {} sig Instructor in Person {
sig Faculty extends Person {
incommittee: set Graduate
}
abstract sig Student extends
Person {
id: one Id,
transcript: set Course,
major: one Department
}
sig Undergrad extends Student {}
sig Graduate extends Student {
advisor: one Faculty
}

```
```

        department: one Department
    ```
        department: one Department
    }
    }
    sig Course {
    sig Course {
    taughtby: one Instructor,
    taughtby: one Instructor,
    enrolled: some Student,
    enrolled: some Student,
    waitlist: set Student,
    waitlist: set Student,
    prerequisites: set Course
    prerequisites: set Course
}
}
sig Id {}
sig Id {}
sig Department {
sig Department {
    courses: some Course,
    courses: some Course,
    required: some Course
    required: some Course
}
```

}

```

\section*{Required courses for a major are a subset of the courses in that major}
```

------------------ Signatures and Fields --------------------
abstract sig Person {} sig Instructor in Person {
sig Faculty extends Person {
incommittee: set Graduate
}
abstract sig Student extends
Person {
id: one Id,
transcript: set Course,
major: one Department
}
sig Undergrad extends Student {}
sig Graduate extends Student {
advisor: one Faculty
}

```
```

        department: one Department
    ```
        department: one Department
    }
    }
    sig Course {
    sig Course {
    taughtby: one Instructor,
    taughtby: one Instructor,
    enrolled: some Student,
    enrolled: some Student,
    waitlist: set Student,
    waitlist: set Student,
    prerequisites: set Course
    prerequisites: set Course
}
}
sig Id {}
sig Id {}
sig Department {
sig Department {
    courses: some Course,
    courses: some Course,
    required: some Course
    required: some Course
}
```

}

```

\section*{Only faculty teach required courses}
```

    Signatures and Fields
    abstract sig Person {} sig Instructor in Person {
sig Faculty extends Person {
incommittee: set Graduate
}
abstract sig Student extends
Person {
id: one Id,
transcript: set Course,
major: one Department
}
sig Undergrad extends Student {}
sig Graduate extends Student {
advisor: one Faculty
}

```
```

        department: one Department
    ```
        department: one Department
    }
    }
    sig Course {
    sig Course {
        taughtby: one Instructor,
        taughtby: one Instructor,
        enrolled: some Student,
        enrolled: some Student,
    waitlist: set Student,
    waitlist: set Student,
    prerequisites: set Course
    prerequisites: set Course
}
}
sig Id {}
sig Id {}
sig Department {
sig Department {
    courses: some Course,
    courses: some Course,
    required: some Course
    required: some Course
}
```

}

```

\section*{Faculty members only teach courses in their department}
```

------------------ Signatures and Fields
abstract sig Person {} sig Instructor in Person {
sig Faculty extends Person {
incommittee: set Graduate
}
abstract sig Student extends
Person {
id: one Id,
transcript: set Course,
major: one Department
}
sig Undergrad extends Student {}
sig Graduate extends Student {
advisor: one Faculty
}

```
```

        department: one Department
    ```
        department: one Department
}
}
sig Course {
sig Course {
    taughtby: one Instructor,
    taughtby: one Instructor,
    enrolled: some Student,
    enrolled: some Student,
    waitlist: set Student,
    waitlist: set Student,
    prerequisites: set Course
    prerequisites: set Course
}
}
sig Id {}
sig Id {}
sig Department {
sig Department {
    courses: some Course,
    courses: some Course,
    required: some Course
    required: some Course
}
```

}

```

\section*{Students must be enrolled in at least one course from their major}
```

------------------ Signatures and Fields
abstract sig Person {} sig Instructor in Person {
sig Faculty extends Person {
incommittee: set Graduate
}
abstract sig Student extends
Person {
id: one Id,
transcript: set Course,
major: one Department
}
sig Undergrad extends Student {}
sig Graduate extends Student {
advisor: one Faculty
}

```
```

        department: one Department
    ```
        department: one Department
    }
    }
    sig Course {
    sig Course {
    taughtby: one Instructor,
    taughtby: one Instructor,
    enrolled: some Student,
    enrolled: some Student,
    waitlist: set Student,
    waitlist: set Student,
    prerequisites: set Course
    prerequisites: set Course
}
}
sig Id {}
sig Id {}
sig Department {
sig Department {
    courses: some Course,
    courses: some Course,
    required: some Course
    required: some Course
}
```

}

```

\section*{There are at least two departments and some required courses}
```

------------------ Signatures and Fields --------------------
abstract sig Person {} sig Instructor in Person {
sig Faculty extends Person {
incommittee: set Graduate
}
abstract sig Student extends
Person {
id: one Id,
transcript: set Course,
major: one Department
}
sig Undergrad extends Student {}
sig Graduate extends Student {
advisor: one Faculty
}

```
```

        department: one Department
    ```
        department: one Department
}
}
sig Course {
sig Course {
    taughtby: one Instructor,
    taughtby: one Instructor,
    enrolled: some Student,
    enrolled: some Student,
    waitlist: set Student,
    waitlist: set Student,
    prerequisites: set Course
    prerequisites: set Course
}
}
sig Id {}
sig Id {}
sig Department {
sig Department {
    courses: some Course,
    courses: some Course,
    required: some Course
    required: some Course
}
```

}

```

\section*{A student's committee members are faculty in his/her major}
```

------------------ Signatures and Fields
abstract sig Person {} sig Instructor in Person {
sig Faculty extends Person {
incommittee: set Graduate
}
abstract sig Student extends
Person {
id: one Id,
transcript: set Course,
major: one Department
}
sig Undergrad extends Student {}
sig Graduate extends Student {
advisor: one Faculty
}

```
```

        department: one Department
    ```
        department: one Department
}
}
sig Course {
sig Course {
    taughtby: one Instructor,
    taughtby: one Instructor,
    enrolled: some Student,
    enrolled: some Student,
    waitlist: set Student,
    waitlist: set Student,
    prerequisites: set Course
    prerequisites: set Course
}
}
sig Id {}
sig Id {}
sig Department {
sig Department {
    courses: some Course,
    courses: some Course,
    required: some Course
    required: some Course
}
```

}

```

\section*{Assertions}
- Realism constraints: There are at least two departments and some required courses
- Administrative constraint: A student's committee members are faculty in his/her major

\section*{Exercises}
- Load academia-3.als
- With realism conditions enabled, do any instances exist in the default scopes?
- Manipulate the scopes as necessary to obtain an instance under the realism conditions
- This requires some thought since constraints may interact in subtle ways
- For example, adding a department requires at least one faculty member for that department
- Can you think of any more questions about the model?
- Formulate them as assertions and see if the properties are already enforced by the constraints```

