

An “Infamous” Example

We examine an initial algebra specification by Goguen et al (chap. 5 of Yeh book) to describe the (signed) integer ADT (with sum and product operations) given Boolean and Nat ADTs. The intuitive idea is to pair a natural number with a Boolean value that represents its sign, and then express signed arithmetic (assuming we already know unsigned arithmetic). However, it will be seen that the initial algebra of this ADT is *not* the usual algebra of the integers.

The specification

Signat signature

Pre-defined types: Boolean (with '='), and Nat ($\{0, 1, 2, \dots\}$) with operations as usual, including $+$, $-$, $*$, \leq (note that $-$ is “proper subtraction”, $n - m$ yields 0 when $n \leq m$).

Operation signatures:

PAIR: Nat, Bool \square Signat

ABS: Signat \square Nat

SGN: Signat \square Bool

SUM: Signat, Signat \square Signat

PROD: Signat, Signat \square Signat

Semantic equations (for all $s \in \text{Signat}$, $n \in \text{Nat}$, $b \in \text{Bool}$)

1. $\text{PAIR}(\text{ABS}(s), \text{SGN}(s)) = s$
2. $\text{ABS}(\text{PAIR}(n, b)) = n$
3. $\text{SGN}(\text{PAIR}(n, b)) = b$
4. $\text{SUM}(s_1, s_2) =$
 - if $\text{SGN}(s_1) = \text{SGN}(s_2)$
 - then $\text{PAIR}(\text{ABS}(s_1) + \text{ABS}(s_2), \text{SGN}(s_1))$
 - else if $\text{ABS}(s_1) \leq \text{ABS}(s_2)$
 - then $\text{PAIR}(\text{ABS}(s_2) - \text{ABS}(s_1), \text{SGN}(s_2))$
 - else $\text{PAIR}(\text{ABS}(s_1) - \text{ABS}(s_2), \text{SGN}(s_1))$
5. $\text{PROD}(s_1, s_2) =$
 - $\text{PAIR}(\text{ABS}(s_1) * \text{ABS}(s_2), \text{SGN}(s_1) = \text{SGN}(s_2))$

The flaw

The fault to be found with this specification of the signed integers is that there are *two* “zeros” — $\text{PAIR}(0, \text{True})$ or $+0$, and $\text{PAIR}(0, \text{False})$ or -0 . There are no equations that enable us to deduce these two different pairs are equivalent, and so in the initial algebra view they are different.

This might appear to be a minor oversight, but in fact having two distinct representations of zero causes numerous familiar identities to be invalidated. For instance, for all x , $x+0 = x$ in the integers. But neither of the corresponding values in this specification has this property — note that $\text{SUM}(+0,-0) = -0$, and $\text{SUM}(-0,+0) = +0$ (use the equations in the specification on the term forms of these values to confirm this). Also for all x , $x*0 = 0$ in the integers, but in the specification $\text{PROD}(-5,+0) = -0$, and $\text{PROD}(-0,-0) = +0$. If it is really the system of signed natural numbers we seek to specify, the specification given does not qualify.

A defective repair

In a widely circulated IBM technical report that preceded the Yeh publication, the authors “corrected” the problem in the SigNat ADT described above by proposing the single additional axiom

$$6. \text{PAIR}(0, \text{True}) = \text{PAIR}(0, \text{False})$$

to unify these two different equivalence classes (i.e., $+0 = -0$). While at first glance this seems like a simple and obvious solution, it is a *huge* blunder. If we add this axiom to those we already have for SigNat, then

$$\text{True} \equiv \text{SGN}(\text{PAIR}(0, \text{True})) \equiv \text{SGN}(\text{PAIR}(0, \text{False})) \equiv \text{False!}$$

Hence an inconsistency in the pre-defined type Boolean has been introduced into the specification, and the original flawed “approximate specification” has been destroyed completely rather than repaired.

An actual repair

A suitable correction to the original flaw is to not add an equation, but to replace equation 3 by

3'. $\text{SGN}(n,b) = \text{if } n=0 \text{ then True else } b$

Then the two representations of zero are equivalent:

$\text{PAIR}(0,\text{False}) \equiv_1$

$\text{PAIR}(\text{ABS}(\text{PAIR}(0,\text{False})), \text{SGN}(\text{PAIR}(0,\text{False}))) \equiv_2$

$\text{PAIR}(0, \text{SGN}(\text{PAIR}(0,\text{False}))) \equiv_3$

$\text{PAIR}(0, \text{True})$.

So now the two zero terms fall into the same equivalence class, and so we have a true, unique zero. But no inconsistency is introduced — it's impossible to deduce that e.g., $\text{True} \equiv \text{False}$ (why?).