## CS:5350 Homework 4, Fall 2019 Due in class on Thu, Dec 12th

**Notes:** (a) It is possible that solutions to some of these problems are available to you via textbooks, on-line lecture notes, etc. If you use any such sources even partially, please acknowledge these in your homework fully *and* present your solutions in your own words. You will benefit most from the homework, if you seriously attempt each problem on your own first, before seeking other sources. (b) It is okay to form groups of **three** in solving and submitting homework solutions. (The syllabus says groups of at most two are allowed, but given the size of the class and the fact that the class has no TA, I am modifying this requirement to allow groups of three.) But, my advice from (a) still applies: you will benefit most from the homework, if you seriously attempt each problem on your group partner(s). (c) Unless you have a documented accomodation, no late submissions are permitted. You will receive no points for your submission if your submission is not turned in at the beginning of class on the due date. (d) Your submissions will be evaluated on correctness *and* clarity. Correctness is of course crucial, but how clearly you communicate your ideas is also quite important.

The last 4 problems are from the Shmoys-Williamson textbook "The Design of Approximation Algorithms" that can be found at http://www.designofapproxalgs.com/book.pdf.

1. You are given a set  $A = \{a_1, a_2, ..., a_n\}$  of positive integers and a positive integer B. A subset  $S \subseteq A$  is called *feasible* if the sum of the numbers in S does not exceed B, i.e.,  $\sum_{s_i \in S} a_i \leq B$ . The sum of the numbers in S is called the *total sum* of S.

You would like to select a feasible subset S of A whose total sum is as large as possible. For example, if  $A = \{8, 2, 4\}$  and B = 11 then the optimal solution is the subset  $S = \{8, 2\}$ .

(a) Here is an algorithm for the problem.

```
\begin{array}{l} S \leftarrow \emptyset; \, T \leftarrow 0 \\ \textbf{for} \, i \leftarrow 1 \, \textbf{to} \, n \, \textbf{do} \\ \quad \textbf{if} \, T + a_i \leq B \, \textbf{then} \\ \quad S \leftarrow S \cup \{a_i\} \\ \quad T \leftarrow T + a_i \end{array}
return S
```

Describe an input for which the total sum of the set S returned by this algorithm is less than half the total sum of some other feasible subset of A.

- (b) Describe a 1/2-approximation algorithm for the problem. Your algorithm should run in  $O(n \log n)$  time.
- (c) Prove correctness of the algorithm you described in (b), i.e., it returns a feasible subset S whose total sum is at least half as large as the total sum of an optimal subset.
- 2. The *Bin Packing* problem takes as input an infinite supply of *bins*  $B_1, B_2, B_3, \ldots$ , each bin of size 1 unit. We are also given *n* items  $a_1, a_2, \ldots, a_n$  and each item  $a_j$  has a size  $s_j$  that is a real number in the interval [0, 1]. The Bin Packing problem seeks to find the smallest number of bins such that all *n* items can be packed into these bins.

For example, suppose that we are given 4 items  $a_1, a_2, a_3$  and  $a_4$  of sizes 0.5, 0.4, 0.6, and 0.5 respectively. We could pack  $a_1$  and  $a_2$  in bin  $B_1$  because  $s_1 + s_2 = 0.9 \le 1$ . We could then pack  $a_3$  into bin  $B_2$ , but we could not also add  $a_4$  to bin  $B_2$ , because  $s_3 + s_4 = 1.1 > 1$ . So  $a_4$  would have to be packed in bin  $B_3$ . This gives us a bin packing of the 4 items into three bins. An alternate way of packing items that would lead to the use of just two bins

is to pack  $a_1$  and  $a_4$  into bin  $B_1$  and  $a_2$  and  $a_3$  into bin  $B_2$ . This packing that uses only two bins is an optimal solution to the Bin Packing problem.

The *First Fit* greedy algorithm processes items in the given order  $a_1, a_2, \ldots, a_n$  and it considers the bins in the order  $B_1, B_2, \ldots$ . For each item  $a_j$  being processed, the algorithm packs  $a_j$  into the first bin that has space for it.

Prove that the First Fit algorithm is a 2-approximation for Bin Packing.

- 3. Problem 1.1.
- 4. Problem 2.1.
- 5. Problem 3.1.
- 6. Problem 3.2.