22C:31 Homework 3

Due in class on Tuesday, March 23rd

This homework will be graded out of 60 points and it is worth 6% of your grade. The Teaching Assistant will grade some 6 out of the 8 problems, with each problem worth 10 points.

1. Here is pseudocode for Prim's algorithm for the *Minimum Spanning Tree* problem that is quite close to code in a high level programming language.

```
primMST(Graph G){
```

}

```
//Defining Data structures and initializing them to be empty
VertexSet S, MinHeap H, EdgeSet T
// getVertex() returns an arbitrary vertex in the graph
current = G.getVertex()
// Initialize S to an arbitrary vertex
S.insert(current)
// Initialize H to contain all vertices, except current
vertices = G.allVertices()
for i = 1 to vertices.size() do
   if(vertices[i] != current)
      H.insert(vertices[i], null, LARGENUMBER)
// Main loop that repeatedly and greedily selects cheapest
// edges between S and the rest of the vertices
while(S.size() != G.size())do{
   L = G.getNeighbors(current)
   // Process each neighbor of current to determine if its entry
   // in the heap can be decreased
   for i = 1 to L.size() do
      H.decreaseKey(L[i].neighbor, current, L[i].edgeWeight)
   // Pick a vertex in the heap that has the cheapest edge to S
   heapItem = H.delete()
   // Update S and T
   S.insert(heapItem.vertex())
   T.insert(heapItem.vertex(), heapItem.neighbor())
}
```

Most of the code is fairly self-explanatory. Here are some additional notes that will help you understand the code.

• G.getNeighbors(v): returns an array with all the neighbors of v. Each item in the array contains two fields: (i) neighbor u, and (ii) edgeWeight w. Here u is a neighbor of v and w is the weight of edge $\{v, u\}$. The size of the array is equal to the number of neighbors of v.

- MinHeap H: Each item in H contains 3 fields: (i) vertex: v, (ii) neighbor: u, and (iii) edgeWeight: w. The heap is organized by edgeWeight, i.e., an item with smallest edge weight is at the top of the heap, etc. The vertex v is outside S, and the edge $\{v, u\}$ is a lightest edge from v to a vertex in S, and w is the weight of this edge.
- H.decreaseKey(v, u, w): This minHeap operation compares edge weight w with the edgeWeight currently associated with v in the heap. If w is smaller, then the edgeWeight field (associated with vertex v) is decreased to w and the neighbor field (associated with vertex v) is updated to u.

It is easy to implement a graph data structure (for example using adjacency lists) that maintains a graph with n vertices and m edges in which getVertex() takes O(1) time, allVertices() takes O(n) time, and getNeighbors(v) takes O(degree(v)) times. Based on this information and what you know about the efficiency of heap operations, carefully analyze the running time of the above pseudocode. Specifically, label the lines of the code $1, 2, 3, \ldots$ and for each line i specify (i) the running time of one execution of line i, (ii) the total number of times line i might be executed, and (iii) the total running time of line i. Your final answer should specify the running time of the entire algorithm, as a function of m and n.

Note: I did an "informal" version of this analysis in class.

- 2. Here are problems on running time analysis, similar to the one on Exam 1.
 - (a) Consider the following code fragment.

 $\begin{aligned} \mathbf{while} & (n \geq 1) \mathbf{do} \\ & m \leftarrow n \\ \mathbf{while} & (m \geq 1) \mathbf{do} \\ & \mathbf{for} \ i = 1 \ \mathbf{to} \ m \ \mathbf{do} \\ & \text{print("hello world")} \\ & m \leftarrow m/2 \\ & n \leftarrow n/2 \end{aligned}$

Express the running time of this code fragment as a function of n. Specifically, come up with a function f(n) such that the running time of the function is $\Theta(f(n))$. Prove your claim by showing that the running time of the code fragment is both O(f(n)) and $\Omega(f(n))$.

- (b) Now modify the code fragment so that the for-loop goes from 1 through n, instead of from 1 through n. Redo (a) for this modified code.
- 3. Solve the following recurrences and obtain an asymptotic upper bound on T(n):
 - (a) $T(n) = 4T\left(\frac{n}{2}\right) + n.$
 - (b) T(n) = T(n-1) + n.
 - (c) $T(n) = 3T\left(\frac{n}{4}\right) + n^2$.
 - (d) $T(n) = T(\sqrt{n}) + 1.$

In all cases, assume that T(n) = b for all $n \le a$ for some convenient constants a and b.

- 4. Problem 26, Page 202.
- 5. Problem 28, Page 203.
- 6. Problem 31, Page 204.
- 7. Problem 4, Page 247.
- 8. Problem 7, Page 248-249.