

# 22C:253 Lecture 4

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MINIMUM MAKESPAN (MMS):

INPUT: A set of  $n$  jobs with processing times  $p_1, p_2, \dots, p_n \in Q^+$  and  $m \in Z^+$ .

OUTPUT: An assignment of the given jobs to  $m$  machines with minimum makespan.

Consider an arbitrary assignment of the  $n$  jobs to the  $m$  machines. Let  $J_i$  be the set of jobs assigned to machine  $i$ ,  $1 \leq i \leq m$ . The *completion time* of machine  $i$ , denoted  $T_i$ , is  $T_i = \sum_{j \in J_i} p_j$ . The *makespan* of the assignment is  $\max_{1 \leq i \leq m} T_i$ .

There are two easy lower bounds on the optimal makespan, OPT:

1. The largest processing time of any job.

$$LB1 = \max_{1 \leq j \leq n} p_j.$$

2. The average completion time of a machine.

$$LB2 = \frac{\sum_{1 \leq j \leq n} p_j}{m}.$$

It is clear that  $LB1 \leq OPT$  and  $LB2 \leq OPT$  and so if we let LB denote the combined lower bound,  $LB = \max\{LB1, LB2\} \leq OPT$ .

Here is a simple factor-2 approximation algorithm.

1. Order jobs arbitrarily.
2. Process jobs in order, assigning each job to machine with smallest completion time, currently.

**Claim:** Let  $T$  be the makespan of the assignment produced by the above algorithm, then  $T \leq 2 \cdot OPT$ .

**Proof:** Let machine  $i$  be the machine with maximum completion time. Let job  $j$  be the job which is assigned last to machine  $i$ . Suppose the completion time of machine  $i$ , just before job  $j$  was assigned to it is  $t$ . Then, every machine has processing time at least  $t$ , implying that  $\sum_{1 \leq j \leq n} p_j \geq m \cdot t$ . This implies that

$$LB \geq \frac{\sum_{1 \leq j \leq n} p_j}{m} \geq t.$$

Also, we know that  $LB \geq LB1 \geq p_j$ . Then we have  $T = t + p_j \leq LB + LB = 2 \cdot LB \leq 2 \cdot OPT$ .

□

**PTAS for Minimum Makespan:** We want to devise a factor- $(1 + \epsilon)$  approximation algorithm for MMS for any  $\epsilon > 0$ . In order to do this, we first establish a connection between MMS and Bin Packing.

**BIN PACKING**

INPUT:  $t \in \mathbb{Q}^+$  and  $n$  objects of sizes  $a_1, a_2, a_3, \dots, a_n \in (0, t]$ .

OUTPUT: Minimum number of size- $t$  bins needed to pack the objects.

**Example:** Let the size of the bins be  $t = 1$ . Suppose  $n = 5$  and let the sizes of objects be  $a_1 = 0.7$ ,  $a_2 = 0.3$ ,  $a_3 = 0.4$ ,  $a_4 = 0.5$ , and  $a_5 = 0.4$ . We can then pack  $\{a_1, a_2\}$  in one bin,  $\{a_3, a_4\}$  in a second bin, and  $a_5$  by itself, in a third bin, so the number of bins used by this packing is 3.

The Bin packing problem is well-known to be NP-complete. A connection between MMS and Bin packing is as follows:

$n$  jobs with processing times  $p_1, p_2, \dots, p_n$  can be assigned to  $m$  machines with makespan  $t$  iff  $n$  objects with sizes  $p_1, p_2, \dots, p_n$  can be packed in  $m$  size- $t$  bins.

Let  $I$  denote the set  $\{p_1, p_2, \dots, p_n\}$ . Let  $BINS(I, t)$  denote the fewest size- $t$  bins needed to pack objects of sizes  $I$ . The connection between MMS and Bin packing implies:

$$OPT = \min\{t \mid BINS(I, t) \leq m\}.$$

Also note that  $OPT \in [LB, 2 \cdot LB]$  and so

$$OPT = \min\{t \in [LB, 2 \cdot LB] \mid BINS(I, t) \leq m\}.$$

Therefore, an algorithm for MMS that does not really work is:

1. Compute  $LB$ .
2. Do binary search in the range  $[LB, 2 \cdot LB]$  to find

$$\min\{t \in [LB, 2 \cdot LB] \mid BINS(I, t) \leq m\}.$$

This algorithm does not work for two reasons:

1. The query  $BINS(I, t) \leq m$  cannot be answered in polynomial-time because the Bin packing is NP-Complete.
2. The number of iterations of the binary search is not polynomial in the input size.

To get around this problem, we connect MMS to a restricted version of Bin packing. This restricted version of Bin packing, that can be solved in polynomial time is as follows, assumes that the  $n$  object have  $k$  distinct sizes for some fixed  $k$ . Such a problem can be solved by dynamic programming in  $O(n^2 k)$  time.

Suppose  $\epsilon > 0$  is fixed and let  $t \in [LB, 2 \cdot LB]$ . We know that all objects have size at most  $t$ . Partition the range  $(0, t]$  into the following intervals:

$$(0, t\epsilon), [t\epsilon, t\epsilon(1 + \epsilon)), [t\epsilon(1 + \epsilon), t\epsilon(1 + \epsilon)^2), \dots, [t\epsilon(1 + \epsilon)^k, t\epsilon(1 + \epsilon)^{k+1}),$$

where

$$t\epsilon(1 + \epsilon)^k \leq t < t\epsilon(1 + \epsilon)^{k+1}.$$

Construt a new instance of the Bin packing problem as follows:

1. Throw away objects of size less than  $t\epsilon$ .
2. For each of the remaining objects, round down the size of the object to the left endpoint of the interval to which it belongs. Specifically, for an object of size  $p_j$ , find an  $i$  such that

$$p_j \in [t\epsilon(1 + \epsilon)^i, t\epsilon(1 + \epsilon)^{i+1})$$

and replace  $p_j$  by  $t\epsilon(1 + \epsilon)^i$  in the new instance of bin packing.

Given that there are  $k$  left endpoints in  $(0, t]$ , we have an instance of Bin packing with  $k + 1$  distinct sizes. Now  $k$  can be related to  $\epsilon$  as follows. Given that  $k$  satisfies

$$t\epsilon(1 + \epsilon)^k \leq t < t\epsilon(1 + \epsilon)^{k+1},$$

we obtain

$$k \leq \log_{1+\epsilon} \left( \frac{1}{\epsilon} \right) < k + 1,$$

implying that

$$k = \left\lfloor \log_{1+\epsilon} \left( \frac{1}{\epsilon} \right) \right\rfloor.$$

So, we can solve this new instance of Bin packing in time

$$O(n^{2\lceil \log_{1+\epsilon}(\frac{1}{\epsilon}) \rceil + 2}).$$

Now we will use the solution of the new instance to get a solution to the original instance.