

# Traditional Operational Semantics

Define semantics by explaining how a computation is performed.

Informal operational semantics is the normal means of describing programming languages

## Examples of Operational Semantics

- Lambda calculus  $\beta$ -reduction (Chapter 5)
- Metacircular interpreters (Chapter 6)
- Translational semantics via attribute grammars (Chapter 7)

## Formal Methods

- Vienna Definition Language (VDL)
- SECD Machine
- Structural Operational Semantics

# SECD: An Abstract Machine

For Evaluating Lambda Calculus Expressions

## S for Stack

Structure for storing partial results awaiting subsequent use.

## E for Environment

Collection of bindings of values (actual parameters) to variables (formal parameters).

## C for Control

Stack of lambda expressions yet to be evaluated plus a special symbol "@" meaning that an application can be performed; the top expression on the stack is the next to be evaluated.

## D for Dump

Stack of complete states corresponding to evaluations in progress but suspended while other expressions (inner redexes) are evaluated.

## Representation

**SECD state:**  $\text{cfg}(S, E, C, D)$

**S and C Stacks:** Lists

Empty Stack:  $[\ ]$

Top:  $\text{head}([a,b,c,d]) = a$

Pop:  $\text{tail}([a,b,c,d]) = [b,c,d]$

Push X onto S:  $[XIS]$

**Environment E:** List of bindings

$E = [x \mapsto 3, y \mapsto 8]$

Empty environment: nil

Lookup:  $E(x)$  returns 3

Update:  $[y \mapsto 21]E$

Failed lookup:  $E(z)$  returns z

**Dump D:** A stack of states

$\text{cfg}(S_1, E_1, C_1, \text{cfg}(S_2, E_2, C_2, \text{cfg}(S_3, E_3, C_3, \text{nil})))$

## SECD Evaluation

State = Configuration =  $\text{cfg}(S, E, C, D)$

Initial Configuration:

$\text{cfg}([\ ], \text{nil}, [\text{exp}], \text{nil})$

Transition Function:

$\text{transform} : \text{State} \rightarrow \text{State}$

Final State:

Empty control stack C, and

Empty dump D

## Transition Function

$transform\ cfg(S,E,C,D) =$

- (1) if  $head(C)$  is a constant,  
then  $cfg([head(C)|S], E, tail(C), D)$

If the next expression to be evaluated is a constant, move it from the control stack to the partial result stack as is.

- (2) else if  $head(C)$  is a variable,  
then  $cfg([E(head(C))|S], E, tail(C), D)$

If the next expression is a variable, push its binding in the current environment onto S.

If no binding exists, push the variable itself.

- (3) else if  $head(C)$  is an application, (Rator Rand),  
then  $cfg(S, E, [Rator,Rand,@|tail(C)], D)$

If the next expression is an application, (Rator Rand), decompose it and reenter it onto the control stack C,

with the Rator at the top,  
the Rand next, and  
the special application symbol @ following the Rand.

- (4) else if  $head(C)$  is a lambda abstraction,  $\lambda V . B$ ,  
then  $cfg([closure(V,B,E)|S], E, tail(C), D)$

If the next expression is a lambda abstraction, form a closure incorporating the current environment and add that closure to the partial result stack.

The use of a closure ensures that when the lambda abstraction is applied, its free variables are resolved in the environment of its definition, thereby providing static scoping.

- (5) else if  $head(C) = @$  and  $head(tail(S))$  is a  
predefined function f,  
then  $cfg([f(head(S))|tail(tail(S))], E, tail(C), D)$

If the next expression is @ and the function in the second place on the S stack is a predefined function,

apply that function to the evaluated argument at the top of the S stack, and replace the two of them by the result.

- (6) else if  $head(C) = @$  and  
 $head(tail(S)) = closure(V,B,E_1)$ ,  
then  $cfg([ ], [V \mapsto head(S)]E_1, [B],$   
 $cfg(tail(tail(S)),E,tail(C),D))$

If the next expression is @ and the function in the second place of the S stack is a closure,  
push current configuration onto the dump,  
and  
initiate a new computation to evaluate the body of the closure in the closure's environment augmented with the binding of the bound variable in the closure to the argument at the top of the S stack.

- (7) else if  $C = [ ],$   
then  $cfg([head(S)|S_1], E_1, C_1, D_1)$   
where  $D = cfg(S_1,E_1,C_1,D_1)$

If the control stack is empty, that means the current evaluation is completed and its result is on the top of the partial result stack.

Pop the configuration on the top of the dump and make it the new current state with the result of the previous computation appended to its partial result stack.

**Example:** (fun 4)

where  $fun = (\lambda m . (sqr ((\lambda n . (add\ m\ n))\ 2)))$

S	E	C	D
[]	nil	[fun 4]	nil
[]	nil	[fun, 4, @]	nil (3)
[cls <sub>1</sub> ]	nil	[4, @]	nil (4)
	where cls <sub>1</sub> = closure(m, (sqr ((λn . (add m n)) 2)), nil)		
[4, cls <sub>1</sub> ]	nil	[@]	nil (1)
[]	[m <sub>1</sub> →4]	[(sqr ((λn . (add m n)) 2))]	d <sub>1</sub> (6)
	where d <sub>1</sub> = cfg([], nil, [], nil)		
[]	[m <sub>1</sub> →4]	[sqr, ((λn . (add m n)) 2), @]	d <sub>1</sub> (3)
[sqr]	[m <sub>1</sub> →4]	[(λn . (add m n)) 2, @]	d <sub>1</sub> (1)
[sqr]	[m <sub>1</sub> →4]	[(λn . (add m n), 2), @, @]	d <sub>1</sub> (3)
[cls <sub>2</sub> , sqr]	[m <sub>1</sub> →4]	[2, @, @]	d <sub>1</sub> (4)
	where cls <sub>2</sub> = closure(n, (add m n), [m <sub>1</sub> →4])		
[2, cls <sub>2</sub> , sqr]	[m <sub>1</sub> →4]	[@, @]	d <sub>1</sub> (1)
[]	[n <sub>1</sub> →2, m <sub>1</sub> →4]	[(add m) n]	d <sub>2</sub> (6)
	where d <sub>2</sub> = cfg([sqr], [m <sub>1</sub> →4], [@], d <sub>1</sub> )		

[]	[n <sub>1</sub> →2, m <sub>1</sub> →4]	[(add m), n, @]	d <sub>2</sub> (3)
[]	[n <sub>1</sub> →2, m <sub>1</sub> →4]	[add, m, @, n, @]	d <sub>2</sub> (3)
[add]	[n <sub>1</sub> →2, m <sub>1</sub> →4]	[m, @, n, @]	d <sub>2</sub> (1)
[4, add]	[n <sub>1</sub> →2, m <sub>1</sub> →4]	[@, n, @]	d <sub>2</sub> (2)
[add <sub>4</sub> ]	[n <sub>1</sub> →2, m <sub>1</sub> →4]	[n, @]	d <sub>2</sub> (5)
	where add <sub>4</sub> = the function that adds its parameter to 4		
[2, add <sub>4</sub> ]	[n <sub>1</sub> →2, m <sub>1</sub> →4]	[@]	d <sub>2</sub> (2)
[6]	[n <sub>1</sub> →2, m <sub>1</sub> →4]	[]	d <sub>2</sub> (5)
[6, sqr]	[m <sub>1</sub> →4]	[@]	d <sub>1</sub> (7)
[36]	[m <sub>1</sub> →4]	[]	d <sub>1</sub> (5)
[36]	nil	[]	nil (7)

**Example:** (λn . λf . λx . f (n f x)) (λg . λy . g (g y))

S	E	C	D
[]	nil	[(λn.λf.λx. f (n f x)) (λg.λy. g (g y))]	nil
[]	nil	[(λn.λf.λx.f (n f x)), (λg.λy.g (g y)), @]	nil (3)
[cls <sub>1</sub> ]	nil	[(λg . λy . g (g y)), @]	nil (4)
	where cls <sub>1</sub> = closure(n, λf . λx . f (n f x), nil)		
[cls <sub>2</sub> , cls <sub>1</sub> ]	nil	[@]	nil (4)
	where cls <sub>2</sub> = closure(g, λy . g (g y), nil)		
[]	[n <sub>1</sub> →cls <sub>2</sub> ]	[λf . λx . f (n f x)]	d <sub>1</sub> (6)
	where d <sub>1</sub> = cfg([], nil, [], nil)		
[cls <sub>3</sub> ]	[n <sub>1</sub> →cls <sub>2</sub> ]	[]	d <sub>1</sub> (4)
	where cls <sub>3</sub> = closure(f, λx . f (n f x), [n <sub>1</sub> →cls <sub>2</sub> ])		
[cls <sub>3</sub> ]	nil	[]	nil (7)

The answer is the closure cls<sub>3</sub>, which represents the function

$$\lambda f . \lambda x . f (n f x)$$

with the environment

$$n_1 \rightarrow \text{cls}_2 \text{ or } n = (\lambda g . \lambda y . g (g y))$$

Since the SECD machine follows pass by value semantics, the reduction stops here.

If we choose to continue the evaluation inside of the lambda abstraction using β-reductions, we get:

$$\begin{aligned} & \lambda f . \lambda x . f ((\lambda g . \lambda y . g (g y)) f x) \\ & \Rightarrow_{\beta} \lambda f . \lambda x . f ((\lambda y . f (f y)) x) \\ & \Rightarrow_{\beta} \lambda f . \lambda x . f (f (f x)) \end{aligned}$$

This is the reduction from Chapter 5:

$$\text{Succ } 2 \Rightarrow 3$$

## Notes

- SECD machine implements pass by value semantics— $\beta$ -redexes inside a lambda abstraction may not be reduced.
- SECD rules are defined to provide static scoping—the nonlocal environment of a function is the environment in which it is defined, not the calling environment.

We get dynamic scoping by changing rule (6):

```
else if  $head(C) = @$  and  
        $head(tail(S)) = closure(V,B,E_1)$   
then  $cfg([], [V \mapsto head(S)]E, [B],$   
        $cfg(tail(tail(S)), E, tail(C), D))$ 
```

## Implementing the SECD Machine

**S and C Stacks:** Prolog lists

head and tail implemented by  
pattern matching

**Environments:** Prolog structures

Structures of the form:  $env(x, 3, env(y, 8, nil))$

$extendEnv(Env, Ide, Val, env(Ide, Val, Env))$ .

$applyEnv(env(Ide, Val, Env), Ide, Val)$ .

$applyEnv(env(Ide1, Val1, Env), Ide, Val) :-$   
 $applyEnv(Env, Ide, Val)$ .

$applyEnv(nil, Ide, var(Ide))$ .

**Dumps:** Prolog structures

$cfg(S_1, E_1, C_1, cfg(S_2, E_2, C_2, cfg(S_3, E_3, C_3, nil)))$

## Transform Predicate

(1) if  $head(C)$  is a constant,  
then  $cfg([head(C)|S], E, tail(C), D)$

becomes

```
transform(cfg(S,E,[con(C)|T],D),  
          cfg([con(C)|S],E,T,D)). % 1
```

(2) else if  $head(C)$  is a variable,  
then  $cfg([E(head(C))|S], E, tail(C), D)$

becomes

```
transform(cfg(S,E,[var(X)|T],D),  
          cfg([Vall|S],E,T,D)) :- applyEnv(E,X,Val). % 2
```

(3) else if  $head(C)$  is an application, (Rator Rand),  
then  $cfg(S, E, [Rator, Rand, @|tail(C)], D)$

becomes

```
transform(cfg(S,E,[comb(Rator,Rand)|T],D),  
          cfg(S,E,[Rator,Rand,@|T],D)). % 3
```

(4) else if  $head(C)$  is a lambda abstraction,  $\lambda V . B$ ,  
then  $cfg([closure(V,B,E)|S], E, tail(C), D)$

becomes

```
transform(cfg(S,E,[lamb(X,B)|T],D),  
          cfg([closure(X,B,E)|S],E,T,D)). % 4
```

(5) else if  $head(C) = @$  and  $head(tail(S))$  is a  
predefined function  $f$ ,  
then  $cfg([f(head(S))|tail(tail(S))], E, tail(C), D)$ ,

becomes

```
transform(  
  cfg([con(Rand),con(Rator)|T],E,[@|T1],D),  
  cfg([Val|T],E,T1,D)) :-  
  compute(Rator,Rand,Val). % 5
```

(6) else if  $head(C) = @$  and  
 $head(tail(S)) = closure(V,B,E_1)$ ,  
 then  $cfg([], [V \mapsto head(S)]E_1, [B],$   
 $cfg(tail(tail(S)), E, tail(C), D))$   
 becomes  
 transform(  
 $cfg([Rand, closure(V,B,E_1)IT], E, [@IT1], D),$   
 $cfg([], E_2, [B], cfg(T, E, T1, D)))$  :-  
 $extendEnv(E_1, V, Rand, E_2)$ . % 6

(7) else if  $C = []$   
 then  $cfg([head(S)|S_1], E_1, C_1, D_1)$ ,  
 where  $D = cfg(S_1, E_1, C_1, D_1)$   
 becomes  
 transform( $cfg([HIS], E, [], cfg(S_1, E_1, C_1, D_1))$ ,  
 $cfg([HIS1], E_1, C_1, D_1)$ ). % 7

## Driver

```
interpret(cfg([Result|S], Env, [], nil), Result).

interpret(Config, Result) :-
    transform(Config, NewConfig),
    interpret(NewConfig, Result).

go :- nl, write('>>> SECD: Interpreting the
              Lambda Calculus <<<'), nl,
    write('Enter name of source file: '), nl,
    readfile(File), nl, see(File), scan(Tokens),
    write('Successful Scan'), nl, !,
%   write(Tokens), nl, nl,
    seen, program(prog(Exp), Tokens, [eop]),
    write('Successful Parse'), nl, nl, !,
%   write(prog(Exp)), nl, nl,
    interpret(cfg([], nil, [Exp], nil), Result), nl,
    write('Result = '), pp(Result), nl.
```

**Try It:** `cp ~slonnegr/public/plf/secd .`  
`cp ~slonnegr/public/plf/twice .`

## Structural Operational Semantics

Abstract machine is a system of logical inference rules.

Premises, a conclusion, and possibly a condition.

$$\frac{\text{premise}_1 \quad \text{premise}_2 \quad \dots \quad \text{premise}_n}{\text{conclusion}} \quad \text{condition}$$

An axiom is a rule without premises.

This modus ponens rule is an example of natural deduction.

$$\frac{p \quad p \supset q}{q}$$

## Abstract Syntax of Wren

Ignore declarations.

Assume program is syntactically correct, including context-sensitive syntax.

### Syntactic Categories (with metavariables)

- $n \in \text{Num} = \text{Set of numerals}$
- $b \in \{\mathbf{true}, \mathbf{false}\} = \text{Set of boolean values}$
- $id \in \text{Id} = \text{Set of integer identifiers}$
- $bid \in \text{Bid} = \text{Set of boolean identifiers}$
- $iop \in \text{Iop} = \{+, -, *, /\}$
- $rop \in \text{Rop} = \{<, \leq, =, \geq, >, \diamond\}$
- $bop \in \text{Bop} = \{\mathbf{and}, \mathbf{or}\}$
- $ie \in \text{Iexp} = \text{Set of integer expressions}$
- $be \in \text{Bexp} = \text{Set of boolean expressions}$
- $c \in \text{Cmd} = \text{Set of commands}$

### Some Abstract Syntax Rules:

$n : \text{iexp} \quad n \in \text{Num} \quad \text{id} : \text{iexp} \quad \text{id} \in \text{Id}$

$$\frac{\text{ie}_1 : \text{iexp} \quad \text{ie}_2 : \text{iexp}}{\text{ie}_1 \text{ iop } \text{ie}_2 : \text{iexp}} \quad \text{iop} \in \text{lop}$$

$$\frac{\text{ie} : \text{iexp}}{- \text{ie} : \text{iexp}}$$

**skip** : cmd

**read** id : cmd  $\text{id} \in \text{Id}$

$$\frac{\text{ie} : \text{iexp}}{\text{id} := \text{ie} : \text{cmd}} \quad \text{id} \in \text{Id}$$

$$\frac{\text{be} : \text{bexp} \quad \text{c}_1 : \text{cmd} \quad \text{c}_2 : \text{cmd}}{\text{if be then } \text{c}_1 \text{ else } \text{c}_2 : \text{cmd}}$$

$$\frac{\text{be} : \text{bexp} \quad \text{c} : \text{cmd}}{\text{while be do } \text{c} : \text{cmd}}$$

$$\frac{\text{c}_1 : \text{cmd} \quad \text{c}_2 : \text{cmd}}{\text{c}_1 ; \text{c}_2 : \text{cmd}}$$

### Derivation in the Abstract Syntax

Show that

**if**  $m < 0$  **then**  $m := -m$ ; **write**  $m+2$  **else** **skip** is a command.

$$\frac{\frac{\frac{\frac{\text{m} : \text{iexp}}{\text{-m} : \text{iexp}}}{\text{m} := -\text{m} : \text{cmd}}}{\text{m} < 0 : \text{bexp}} \quad \frac{\frac{\frac{\text{m} : \text{iexp} \quad \text{2} : \text{iexp}}{\text{m} + 2 : \text{iexp}}}{\text{write } \text{m} + 2 : \text{cmd}}}{\text{write } \text{m} + 2 : \text{cmd}} \quad \text{skip} : \text{cmd}}{\text{if } \text{m} < 0 \text{ then } \text{m} := -\text{m} ; \text{write } \text{m} + 2 \text{ else } \text{skip} : \text{cmd}}$$

### Structural Induction

Abstract syntax is defined inductively.

#### Base Cases:

$n \in \text{iexp}$  for each  $n \in \text{Num}$

$\text{id} \in \text{iexp}$  for each  $\text{id} \in \text{Id}$

#### Induction Cases:

If  $\text{ie}_1, \text{ie}_2 \in \text{iexp}$  and  $\text{iop} \in \text{lop}$ ,  
then  $(\text{ie}_1 \text{ iop } \text{ie}_2) \in \text{iexp}$

If  $\text{be} \in \text{Bexp}$  and  $\text{c} \in \text{Cmd}$ ,  
then **(if be then c)**  $\in \text{Cmd}$

## Principle of Structural Induction

To prove that a property holds for all phrases in some syntactic category, confirm two conditions:

**Basis:** The property must be established for each atomic (nondecomposable) syntactic element produced by an axiom.

**Induction step:** The property must be proved for any composite element given that it holds for each of its immediate subphrases (the induction hypothesis).

## Example: Lists of Integers

### Definition

$$[] : \text{intList} \quad \frac{\text{tail} : \text{intList}}{m :: \text{tail} : \text{intList}} \quad m \in \text{num}$$

### Functions on Lists:

$$\text{length}([]) = 0$$

$$\text{length}(m :: \text{tail}) = 1 + \text{length}(\text{tail})$$

$$\text{sum}([]) = 0$$

$$\text{sum}(m :: \text{tail}) = m + \text{sum}(\text{tail})$$

$$\text{max}([]) = \text{"-maxint"}$$

$$\text{max}(m :: \text{tail}) = \text{"larger of"}(m, \text{max}(\text{tail}))$$

$$\text{concat}([], L) = L$$

$$\text{concat}(m :: \text{tail}, L) = m :: \text{concat}(\text{tail}, L)$$

### Property P(L):

$$\text{sum}(L) \leq \text{max}(L) * \text{length}(L)$$

### Proof by structural induction

**Basis:**  $P([])$  because

$$\text{sum}([]) = 0 \leq \text{max}([]) * 0 = \text{max}([]) * \text{length}([])$$

### Induction Step:

Suppose  $P(\text{tail})$  as the induction hypothesis

$$\text{sum}(\text{tail}) \leq \text{max}(\text{tail}) * \text{length}(\text{tail})$$

for some  $\text{tail} : \text{intList}$

Note that  $m \leq \text{max}(m :: \text{tail})$

$$\text{and } \text{max}(\text{tail}) \leq \text{max}(m :: \text{tail})$$

So

$$\begin{aligned} \text{sum}(m :: \text{tail}) &= m + \text{sum}(\text{tail}) \\ &\leq m + \text{max}(\text{tail}) * \text{length}(\text{tail}) \\ &\leq \text{max}(m :: \text{tail}) + \text{max}(m :: \text{tail}) * \text{length}(\text{tail}) \\ &= \text{max}(m :: \text{tail}) * (1 + \text{length}(\text{tail})) \\ &= \text{max}(m :: \text{tail}) * \text{length}(m :: \text{tail}) \end{aligned}$$

That is,  $P(m :: \text{tail})$ .

## Semantics of Expressions in Wren

### Store

- Models memory of a computer
- A finite function
- $\text{dom}(\text{sto}) = \text{set of variables bound in sto}$   
if  $\text{sto} = \{ m \mapsto 13, p \mapsto \text{false}, z \mapsto -34 \}$ ,  
 $\text{dom}(\text{sto}) = \{ m, p, z \}$ .

### Abstract operations manipulate store:

#### *emptySto*

represents a store with no binding  
(all identifiers are undefined).

#### *updateSto(sto, id, n)* and *updateSto(sto, bid, b)*

represent the store that agrees with  $\text{sto}$  but contains one new binding:  $\text{id} \mapsto n$  or  $\text{bid} \mapsto b$ .

#### *applySto(sto, id)* and *applySto(sto, bid)*

return the value associated with  $\text{id}$  or  $\text{bid}$ ;  
if no binding exists, the operation fails blocking the deduction.

## Utility function for binary operations

$compute(op, arg_1, arg_2)$

$compute(+, 5, 8)$  is 13

$compute(/, n, 0)$  is undefined

**A Configuration:** a pair  
an expression and a store

**Final Configuration:**

$\langle n, sto \rangle$  or  $\langle b, sto \rangle$

where  $n \in \text{Num}$  and  $b \in \{ \text{true}, \text{false} \}$

**Transition Function:**  $\rightarrow$

$\langle e_1, sto \rangle \rightarrow \langle e_2, sto \rangle \rightarrow \dots \rightarrow \langle e_{n-1}, sto \rangle \rightarrow \langle e_n, sto \rangle.$

## Inference System for Expressions

$$(3) \frac{\langle be_1, sto \rangle \rightarrow \langle be_1', sto \rangle}{\langle be_1 \text{ bop } be_2, sto \rangle \rightarrow \langle be_1' \text{ bop } be_2, sto \rangle}$$

$$(6) \frac{\langle be_2, sto \rangle \rightarrow \langle be_2', sto \rangle}{\langle b \text{ bop } be_2, sto \rangle \rightarrow \langle b \text{ bop } be_2', sto \rangle}$$

$$(9) \langle b_1 \text{ bop } b_2, sto \rangle \rightarrow \langle compute(\text{bop}, b_1, b_2), sto \rangle$$

$$(10) \frac{\langle be, sto \rangle \rightarrow \langle be', sto \rangle}{\langle \text{not}(be), sto \rangle \rightarrow \langle \text{not}(be'), sto \rangle}$$

$$(11a) \langle \text{not}(\text{true}), sto \rangle \rightarrow \langle \text{false}, sto \rangle$$

$$(11b) \langle \text{not}(\text{false}), sto \rangle \rightarrow \langle \text{true}, sto \rangle$$

$$(13) \langle bid, sto \rangle \rightarrow \langle applySto(sto, bid), sto \rangle \quad \text{bid} \in dom(sto)$$

$$(14) \frac{\langle e_1, sto \rangle \rightarrow \langle e_2, sto \rangle \quad \langle e_2, sto \rangle \rightarrow \langle e_3, sto \rangle}{\langle e_1, sto \rangle \rightarrow \langle e_3, sto \rangle}$$

$e_i \in \text{lexp}$  or  $e_i \in \text{Bexp}$

## Sample Derivation: $p$ or $z < 0$

Given  $sto = \{ m \mapsto 13, p \mapsto \text{false}, z \mapsto -34 \}$ ,

- a)  $\langle p, sto \rangle \rightarrow \langle \text{false}, sto \rangle$  13  
since  $applySto(sto, p) = \text{false}$
- b)  $\langle p \text{ or } z < 0, sto \rangle \rightarrow \langle \text{false or } z < 0, sto \rangle$  3,a
- c)  $\langle z, sto \rangle \rightarrow \langle -34, sto \rangle$  12  
since  $applySto(sto, z) = -34$
- d)  $\langle z < 0, sto \rangle \rightarrow \langle -34 < 0, sto \rangle$  2,c
- e)  $\langle -34 < 0, sto \rangle \rightarrow \langle \text{true}, sto \rangle$  8  
since  $compute(<, -34, 0) = \text{true}$
- f)  $\langle z < 0, sto \rangle \rightarrow \langle \text{true}, sto \rangle$  14,d,e
- g)  $\langle \text{false or } z < 0, sto \rangle \rightarrow \langle \text{false or true}, sto \rangle$  6,f
- h)  $\langle p \text{ or } z < 0, sto \rangle \rightarrow \langle \text{false or true}, sto \rangle$  14,b,g
- i)  $\langle \text{false or true}, sto \rangle \rightarrow \langle \text{true}, sto \rangle$  9  
since  $compute(\text{or}, \text{false}, \text{true}) = \text{true}$
- j)  $\langle p \text{ or } z < 0, sto \rangle \rightarrow \langle \text{true}, sto \rangle$  14,h,i



## Stuck Derivations

Conditions on rules prohibit dynamic (semantic) errors:

- $(iop \neq /)$  or  $(n \neq 0)$  for rule (7),
- $id \in dom(sto)$  for rule (12), and
- $bid \in dom(sto)$  for rule (13).

The **read** command allows another semantic error.

Derivations also stop when a final configuration is reached, since no inference rule applies.

View this normal form as “normal” termination.

Normal form is the goal of a derivation.

## Completeness Theorem

- 1) For any  $ie \in (lexp - Num)$  and  $sto \in Store$  with  $var(ie) \subseteq dom(sto)$  and no occurrence of the division operator in  $ie$ , there is a numeral  $n \in Num$  such that  $\langle ie, sto \rangle \rightarrow \langle n, sto \rangle$ .

Proof: The proof is by structural induction following the abstract syntax of expressions in Wren.

- 1) Let  $ie \in lexp - Num$  and  $sto \in Store$  with  $var(ie) \subseteq dom(sto)$ , and suppose  $ie$  has no occurrence of the division operator. By the definition of abstract syntax, Figure 8.4,  $ie$  must be of the form  $id \in Id$  or  $(ie_1 iop ie_2)$  where  $iop \in lop - \{/ \}$  and  $ie_1, ie_2 : iexp$  have no occurrence of  $/$ .

**Case 1:**  $ie = id \in Id$ .

Then  $id \in dom(sto)$  and  $\langle id, sto \rangle \rightarrow \langle n, sto \rangle$  where  $n = applySto(sto, id)$  using rule (12).

**Case 2:**  $ie = ie_1 iop ie_2$  where  $iop \in lop - \{/ \}$  and  $ie_1, ie_2 : iexp$ , and for  $i=1,2$ ,  $var(ie_i) \subseteq dom(sto)$  and  $ie_i$  contains no occurrence of  $/$ .

**Subcase a:**  $ie_1 = n_1 \in Num$  and  $ie_2 = n_2 \in Num$ .

Then  $\langle ie, sto \rangle = \langle n_1 iop n_2, sto \rangle \rightarrow \langle n, sto \rangle$  where  $n = compute(iop, n_1, n_2)$  by rule (7) whose condition is satisfied since  $iop \neq /$ .

**Subcase b:**  $ie_1 = n_1 \in Num$  and  $ie_2 \in (lexp - Num)$ .

By the induction hypothesis,  $\langle ie_2, sto \rangle \rightarrow \langle n_2, sto \rangle$  for some  $n_2 \in Num$ .

Then using rule (4), we get  $\langle ie, sto \rangle = \langle n_1 iop ie_2, sto \rangle \rightarrow \langle n_1 iop n_2, sto \rangle$ , to which we can apply subcase a.

**Subcase c:**  $ie_1 \in (lexp - Num)$  and  $ie_2 \in lexp$ .

By the induction hypothesis,  $\langle ie_1, sto \rangle \rightarrow \langle n_1, sto \rangle$  for some  $n_1 \in Num$ .

Then using rule (1), we get  $\langle ie, sto \rangle = \langle ie_1 iop ie_2, sto \rangle \rightarrow \langle n_1 iop ie_2, sto \rangle$ , to which we can apply subcase a or b.

Therefore, the conditions for structural induction on integer expressions are satisfied and the theorem holds for all  $ie \in (lexp - Num)$ .

## Semantics of Commands in Wren

Commands may modify the store.

Need to model input and output to provide semantics for the **read** and **write** commands.

**Configurations:**  $\langle c, \text{st}(\text{in}, \text{out}, \text{sto}) \rangle$

where “in” and “out” are finite lists of integers representing input and output streams, and  $\text{st}(\text{in}, \text{out}, \text{sto})$  is called the state.

**A Computation:**

$$\begin{aligned} \langle c_0, \text{st}(\text{in}_0, \text{out}_0, \text{sto}_0) \rangle &\rightarrow \\ \langle c_1, \text{st}(\text{in}_1, \text{out}_1, \text{sto}_1) \rangle &\rightarrow \\ \langle c_2, \text{st}(\text{in}_2, \text{out}_2, \text{sto}_2) \rangle &\rightarrow \dots \end{aligned}$$

Computations may continue forever.

## Auxiliary Operations for Lists

*head*, *tail*, and *affix* where  $\text{affix}([], 5) = [5]$   
and  $\text{affix}([13, 21], 34) = [13, 21, 34]$

**Goal of a Derivation:**

Starting with an initial configuration, reduce the command  $c$  comprising a Wren program to an empty command, **skip**.

**Initial State:**

Given input list  $[n_1, n_2, n_3, \dots, n_k]$  and command  $c$ ,

$$\text{st}_0 = \text{st}([n_1, n_2, n_3, \dots, n_k], [], \text{emptySto})$$

**Successful Derivation:**

$$\begin{aligned} \langle c, \text{st}([n_1, n_2, n_3, \dots, n_k], [], \text{emptySto}) \rangle &\rightarrow \\ \dots &\rightarrow \langle \text{skip}, \text{st}(\text{in}, [k_1, k_2, \dots, k_i], \text{sto}) \rangle \end{aligned}$$

## Inference System for Commands

### Assignment Commands

$$(1) \frac{\frac{\langle ie, \text{sto} \rangle \rightarrow \langle ie', \text{sto} \rangle}{\langle \text{id} := ie, \text{st}(\text{in}, \text{out}, \text{sto}) \rangle \rightarrow \langle \text{id} := ie', \text{st}(\text{in}, \text{out}, \text{sto}) \rangle}}{\langle \text{be}, \text{sto} \rangle \rightarrow \langle \text{be}', \text{sto} \rangle}}{\langle \text{bid} := \text{be}, \text{st}(\text{in}, \text{out}, \text{sto}) \rangle \rightarrow \langle \text{bid} := \text{be}', \text{st}(\text{in}, \text{out}, \text{sto}) \rangle}}$$

$$(2) \langle \text{id} := n, \text{st}(\text{in}, \text{out}, \text{sto}) \rangle \rightarrow \langle \text{skip}, \text{st}(\text{in}, \text{out}, \text{updateSto}(\text{sto}, \text{id}, n)) \rangle$$

$$\langle \text{bid} := b, \text{st}(\text{in}, \text{out}, \text{sto}) \rangle \rightarrow \langle \text{skip}, \text{st}(\text{in}, \text{out}, \text{updateSto}(\text{sto}, \text{bid}, b)) \rangle$$

### If Commands

- $$(3) \frac{\langle \text{be}, \text{sto} \rangle \rightarrow \langle \text{be}', \text{sto} \rangle}{\langle \text{if be then } c_1 \text{ else } c_2, \text{st}(\text{in}, \text{out}, \text{sto}) \rangle \rightarrow \langle \text{if be' then } c_1 \text{ else } c_2, \text{st}(\text{in}, \text{out}, \text{sto}) \rangle}}$$
- $$(4) \langle \text{if true then } c_1 \text{ else } c_2, \text{state} \rangle \rightarrow \langle c_1, \text{state} \rangle$$
- $$(5) \langle \text{if false then } c_1 \text{ else } c_2, \text{state} \rangle \rightarrow \langle c_2, \text{state} \rangle$$
- $$(6) \langle \text{if be then } c, \text{state} \rangle \rightarrow \langle \text{if be then } c \text{ else skip}, \text{state} \rangle$$

### While Command

- $$(7) \langle \text{while be do } c, \text{state} \rangle \rightarrow \langle \text{if be then } (c ; \text{while be do } c) \text{ else skip}, \text{state} \rangle$$

## Command Sequencing

$$(8) \frac{\langle c_1, \text{state} \rangle \rightarrow \langle c_1', \text{state}' \rangle}{\langle c_1 ; c_2, \text{state} \rangle \rightarrow \langle c_1' ; c_2, \text{state}' \rangle}$$

$$(9) \langle \text{skip} ; c, \text{state} \rangle \rightarrow \langle c, \text{state} \rangle$$

## Read Command

$$(10) \langle \text{read } id, \text{st}(\text{in}, \text{out}, \text{sto}) \rangle \rightarrow \text{in} \neq [ ] \\ \langle \text{skip}, \text{st}(\text{tail}(\text{in}), \text{out}, \text{updateSto}(\text{sto}, id, \text{head}(\text{in}))) \rangle$$

## Write Command

$$(11) \frac{\langle ie, \text{sto} \rangle \rightarrow \langle ie', \text{sto} \rangle}{\langle \text{write } ie, \text{st}(\text{in}, \text{out}, \text{sto}) \rangle \rightarrow \langle \text{write } ie', \text{st}(\text{in}, \text{out}, \text{sto}) \rangle}$$

$$(12) \langle \text{write } n, \text{st}(\text{in}, \text{out}, \text{sto}) \rangle \rightarrow \langle \text{skip}, \text{st}(\text{in}, \text{affix}(\text{out}, n), \text{sto}) \rangle$$

## Possible Outcomes

1. After a finite number of transitions, we reach a configuration  $\langle \text{skip}, \text{state} \rangle$  in normal form.
2. After a finite number of transitions, we reach a configuration that is not in normal form but for which no further transition is defined.

Semantic Errors:

- an undefined (uninitialized) identifier
- division by zero
- empty input list when executing a **read** command

3. A computation sequence continues forever (a **while** command that never terminates).

Notation: " $\langle c, \text{state} \rangle \rightarrow \infty$ "

**A Sample Computation:** Good luck

## Semantic Equivalence

**Definition:** Commands  $c_1$  and  $c_2$  are **semantically equivalent**, written  $c_1 \equiv c_2$ , if

- 1) For any two states  $s$  and  $s_f$ ,  
 $\langle c_1, s \rangle \rightarrow \langle \text{skip}, s_f \rangle$  if and only if  
 $\langle c_2, s \rangle \rightarrow \langle \text{skip}, s_f \rangle$ ,  
 and
- 2) For any state  $s$ ,  
 $\langle c_1, s \rangle \rightarrow \infty$  if and only if  $\langle c_2, s \rangle \rightarrow \infty$ .

## Examples of Semantic Equivalence

For any  $c_1, c_2 : \text{cmd}$  and  $be : \text{bexp}$ ,

**if**  $be$  **then**  $c_1$  **else**  $c_2 \equiv$   
**if not**( $be$ ) **then**  $c_2$  **else**  $c_1$ .

For any  $c : \text{cmd}$ ,  $c ; \text{skip} \equiv c$ .

For any  $c : \text{cmd}$ ,  $\text{skip} ; c \equiv c$ .

For any  $be : \text{bexp}$  and  $c : \text{cmd}$ ,

**if**  $be$  **then**  $c$  **else**  $c \equiv c$ .  
 assuming  $be$  does not become stuck.

## An Equivalent Definition

**Definition:** Commands  $c_1$  and  $c_2$  are **semantically equivalent**, written  $c_1 \equiv c_2$ , if these three conditions hold:

- 1) For any two states  $s$  and  $s_f$ ,  
 if  $\langle c_1, s \rangle \rightarrow \langle \text{skip}, s_f \rangle$ ,  
 then  $\langle c_2, s \rangle \rightarrow \langle \text{skip}, s_f \rangle$ .
- 2) For any state  $s$ ,  
 if  $\langle c_1, s \rangle \rightarrow \infty$ , then  $\langle c_2, s \rangle \rightarrow \infty$ .
- 3) For any state  $s$ ,  
 if derivation of  $\langle c_1, s \rangle$  becomes stuck,  
 then derivation of  $\langle c_2, s \rangle$  becomes stuck.

The equivalence of the two definitions can be verified with symbolic logic.

For any  $be:bexp$  and  $c_1, c_2, c_3:cmd$ ,  
 (if be then  $c_1$  else  $c_2$ ) ;  $c_3 \equiv$   
 if be then ( $c_1$  ;  $c_3$ ) else ( $c_2$  ;  $c_3$ ).

**Proof of equivalence:**  $c ; skip \equiv c$ .

Let  $s$  be an arbitrary state.

**Case 1:**  $\langle c, s \rangle \rightarrow \langle skip, s' \rangle$ .

Then by (8),  $\langle c; skip, s \rangle \rightarrow \langle skip; skip, s' \rangle$   
 and by (9),  $\langle skip; skip, s' \rangle \rightarrow \langle skip, s' \rangle$

**Case 2:**  $\langle c, s \rangle \rightarrow \langle c', s' \rangle$  is stuck.

Since rule (8) is the only one that can be applied,

$\langle c; skip, s \rangle \rightarrow \langle c'; skip, s' \rangle$  is also stuck.

**Case 3:**  $\langle c, s \rangle \rightarrow \infty$ .

So the derivation

$\langle c, s \rangle \rightarrow \langle c_1, s_1 \rangle \rightarrow \langle c_2, s_2 \rangle \rightarrow \dots$   
 continues forever.

Then by (8), the derivation

$\langle c; skip, s \rangle \rightarrow \langle c_1; skip, s_1 \rangle$   
 $\rightarrow \langle c_2; skip, s_2 \rangle \rightarrow \dots$   
 also continues forever.

## Implementing Operational Semantics

### Transition Function for Commands

$transform(cfg(C, st(In, Out, Sto)),$   
 $cfg(C1, st(In1, Out1, Sto1)))$ .

### Driver

$interpret(cfg(skip, FinalState), FinalState)$ .

$interpret(Config, FinalState) :-$   
 $transform(Config, NewConfig),$   
 $interpret(NewConfig, FinalState)$ .

### If Commands (note order of clauses)

$transform(cfg(if(bool(true), C1, C2), State),$   
 $cfg(C1, State)).$  % 4

$transform(cfg(if(bool(false), C1, C2), State),$   
 $cfg(C2, State)).$  % 5

$transform(cfg(if(Be, C1, C2), st(In, Out, Sto)),$   
 $cfg(if(Be1, C1, C2), st(In, Out, Sto))) :-$   
 $transform(cfg(Be, Sto), cfg(Be1, Sto)).$  % 3

### If-Then Command

$transform(cfg(if(Be, C), State),$   
 $cfg(if(Be, C, skip), State)).$  % 6

### While Command

$transform(cfg(while(Be, C), State),$   
 $cfg(if(Be, [C, while(Be, C)], skip), State)).$  % 7

Build an abstract syntax tree (structure) for the if command.

Note list of commands representing a sequence.

### Command Sequencing

$transform(cfg([skip|Cs], State), cfg(Cs, State)).$  % 9

$transform(cfg([], State), cfg(skip, State)).$

$transform(cfg([C|Cs], State), cfg([C|Cs], State1))$   
 $:- transform(cfg(C, State), cfg(C1, State1)).$  % 8

## Modeling the Store

A Prolog structure  $sto(var, val, restofSto)$ .

### Example

$sto(m, int(13),$   
 $sto(b, bool(false),$   
 $sto(z, int(-34), nil)))$

represents the store

$\{ m \mapsto int(13), b \mapsto bool(false), z \mapsto int(-34) \}$ .

The empty store: the Prolog atom "nil".

### Auxiliary Functions

$updateSto(sto(Ide, V, Sto), Ide, Val,$   
 $sto(Ide, Val, Sto)).$

$updateSto(sto(I, V, Sto), Ide, Val, sto(I, V, NewSto))$   
 $:- updateSto(Sto, Ide, Val, NewSto).$

$updateSto(nil, Ide, Val, sto(Ide, Val, nil)).$

```

applySto(sto(Idx, Val, Sto), Idx, Val).
applySto(sto(I, V, Sto), Idx, Val) :-
    applySto(Sto, Idx, Val).
applySto(nil, Idx, undefined) :-
    write('Undefined variable'), nl, abort.

```

### Assignment Command

```

transform(cfg(assign(Idx, int(N)), st(In, Out, Sto)),
    cfg(skip, st(In, Out, Sto1))) :- % 2a
    updateSto(Sto, Idx, int(N), Sto1).
transform(cfg(assign(Idx, bool(B)), st(In, Out, Sto)),
    cfg(skip, st(In, Out, Sto1))) :- % 2b
    updateSto(Sto, Idx, bool(B), Sto1).
transform(cfg(assign(Idx, E), st(In, Out, Sto)), % 1
    cfg(assign(Idx, E1), st(In, Out, Sto))) :-
    transform(cfg(E, Sto), cfg(E1, Sto)).

```

### Read Command

```

transform(cfg(read(Idx), st([ ], Out, Sto)),
    cfg(skip, st([ ], Out, Sto))) :- % 10
    write('Attempted read of empty file'), nl, abort.
transform(cfg(read(Idx), st([NIT], Out, Sto)),
    cfg(skip, st(T, Out, Sto1))) :- % 10
    updateSto(Sto, Idx, int(N), Sto1).

```

### Write Command

```

transform(cfg(write(int(N)), st(In, Out, Sto)),
    cfg(skip, st(In, Out1, Sto))) :- % 12
    concat(Out, [N], Out1).
transform(cfg(write(E), st(In, Out, Sto)),
    cfg(write(E1), st(In, Out, Sto))) :- % 11
    transform(cfg(E, Sto), cfg(E1, Sto)).

```

### Transition Function for Expressions

```
transform(cfg(E, Sto), cfg(E1, Sto)).
```

**Driver** (Not used in Wren interpreter.)

```

evaluate(cfg(int(N), Sto), int(N)).
evaluate(cfg(bool(B), Sto), bool(B)).
evaluate(Config, FinalValue) :-
    transform(Config, NewConfig),
    evaluate(NewConfig, FinalValue).

```

### Rules (7), (8), and (9)

```

transform(cfg(exp(Opr, int(N1), int(N2)), Sto),
    cfg(Val, Sto)) :- % 7+8
    compute(Opr, int(N1), int(N2), Val).
% Opr can represent both lop and Rop.
transform(cfg(bexp(Opr, bool(B1), bool(B2)), Sto),
    cfg(Val, Sto)) :- % 9
    compute(Opr, bool(B1), bool(B2), Val).

```

### Rules (4), (5), and (6)

```

transform(cfg(exp(Opr, int(N), E2), Sto), % 4+5
    cfg(exp(Opr, int(N), E2p), Sto)) :-
    transform(cfg(E2, Sto), cfg(E2p, Sto)).
transform(cfg(bexp(Opr, bool(B), E2), Sto), % 6
    cfg(bexp(Opr, bool(B), E2p), Sto)) :-
    transform(cfg(E2, Sto), cfg(E2p, Sto)).

```

### Rules (1), (2), and (3)

```

transform(cfg(exp(Opr, E1, E2), Sto), % 1+2
    cfg(exp(Opr, E1p, E2), Sto)) :-
    transform(cfg(E1, Sto), cfg(E1p, Sto)).
transform(cfg(bexp(Opr, E1, E2), Sto), % 3
    cfg(bexp(Opr, E1p, E2), Sto)) :-
    transform(cfg(E1, Sto), cfg(E1p, Sto)).

```

## Compute

```
compute(plus,int(M),int(N),int(R)) :- R is M+N.
compute(divides,int(M),int(0),int(0)) :-
    write('Division by zero'), nl, abort.
compute(divides,int(M),int(N),int(R)) :-
    R is M//N.

compute(equal,int(M),int(N),bool(true)) :-
    M =:= N.
compute(equal,int(M),int(N),bool(false)).

compute(neq,int(M),int(N),bool(false)) :-
    M =:= N.
compute(neq,int(M),int(N),bool(true)).

compute(less,int(M),int(N),bool(true)) :- M < N.
compute(less,int(M),int(N),bool(false)).

compute(and,bool(true),bool(true),bool(true)).
compute(and,bool(P),bool(Q),bool(false)).
```

## Top Level Driver

```
go :-
    nl, write('>>> Interpreting Wren via
    Operational Semantics <<<'), nl, nl,
    write('Enter name of source file: '), nl,
    readfilename(File), nl,
    see(File), scan(Tokens), seen,
    write('Scan successful'), nl, !,
    program(prog(Dec,Cmd),Tokens,[eop]),
    write('Parse successful'), nl, !,
    write('Enter input list followed by a period: '),
    nl, read(In), nl,
    interpret(cfg(Cmd,st(In,[ ],nil)),
    st(Finalln,Out,Sto)), nl,
    write('Output = '), write(Out), nl, nl,
    write('Final Store:'), nl, printSto(Sto), nl.
```

## Try It

```
cp ~slonnegr/public/plf/sos .
```