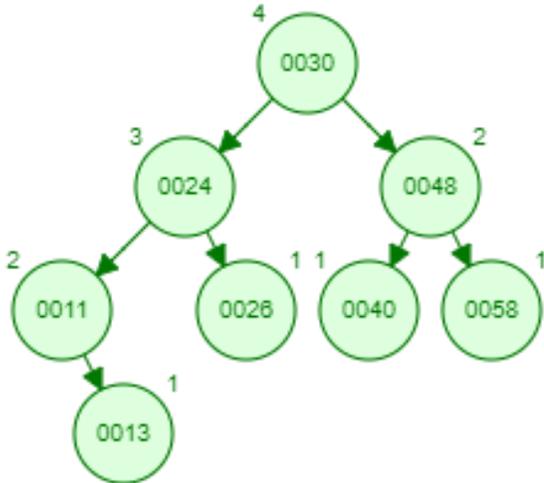
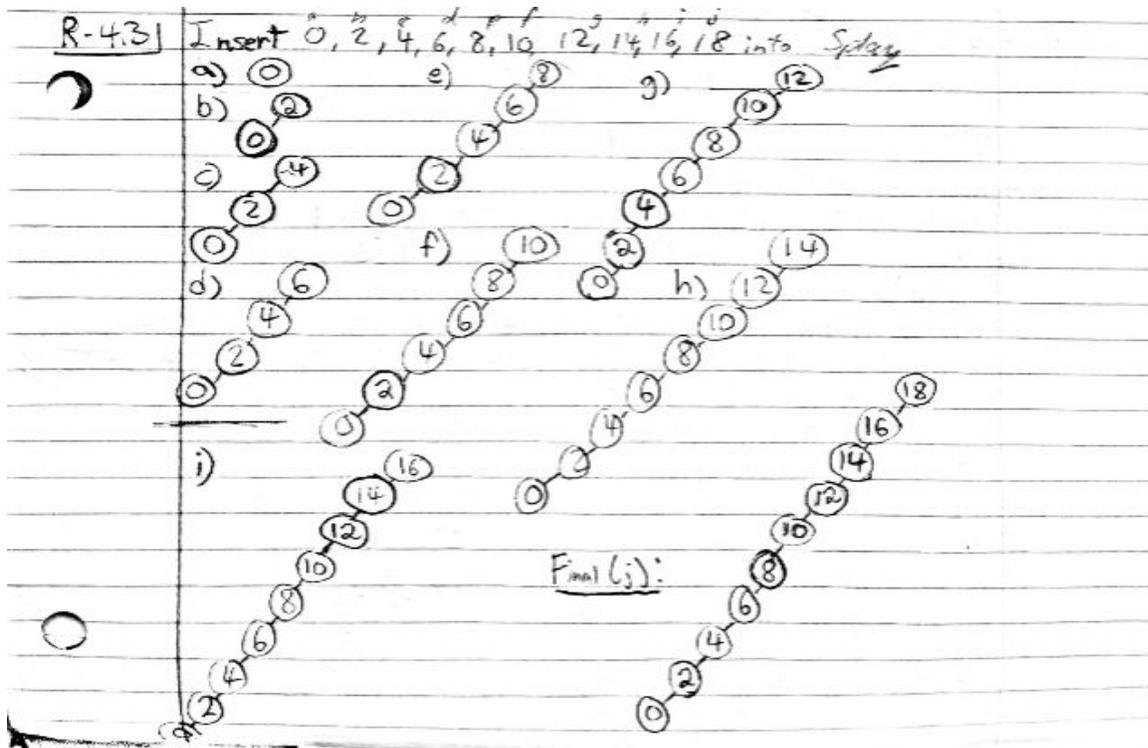


R-4.1 Consider the insertion of items with the following keys (in the given order) into an initially empty AVL tree: 30, 40, 24, 58, 48, 26, 11, 13. Draw the final tree that results.



R-4.3 Consider the insertion of items with the following keys (in the given order) into an initially empty splay tree: 0, 2, 4, 6, 8, 10, 12, 14, 16, 18. Draw the final tree that results.



C-4.1 Show that any n -node binary tree can be converted to any other n -node binary tree using $O(n)$ rotations.

Hint: Show that $O(n)$ rotations suffice to convert any binary tree into a left chain, where each internal node has an external right child.

The idea is to construct an algorithm that converts any n -nodes binary tree into a left chain in time $O(n)$ and then use the inverse of this algorithm to convert the obtained left chain into the desired binary tree.

— Algorithm that converts any n -nodes binary tree into a left chain in $O(n)$.

① perform left rotations on the root node until its right child is empty

② If the left child is now empty we are done. If the left child is not empty we traverse down to the left and go back to ① to perform rotations on this subtree.

Time: There are n nodes in the binary tree. A rotation takes time $O(1)$. Each time we do a rotation the height of the left chain that we are building grows with at least one. Therefore the algorithm will terminate after at most n rotations giving us time $O(n)$.

C-4.3 Show, by induction, that the minimum number, n_h , of internal nodes in an AVL tree of height h , as defined in the proof of Theorem 4.1, satisfies the following identity, for $h \geq 1$:

$$n_h = F_{h+2} - 1,$$

where F_k denotes the Fibonacci number of order k , as defined in the previous exercise.

C-4.3 (cont'd)

$n_1 = 1$ $F_{1+2} - 1 = F_3 - 1 = 2 - 1 = 1$ $n_2 = 2$ $F_{2+2} = 3$
 $1 = 1$ ✓ $2 = 3 - 1 = 2$ ✓

Now assume $n_h = F_{h+2} - 1$ for $h = k$ and $h = k-1$

WTS $n_{k+1} = F_{k+3} - 1$

$n_{k+1} = F_{k+1} - 1 = F_{k-1} + F_k - 1$

Inductive hypothesis: $n_k = F_{k+2} - 1 = F_k + F_{k+1} - 1$

From Thm 4.1 proof: $n_n = 1 + n_{n-1} + n_{n-2}$

So $1 + n_{k-1} + n_{k-2} = F_k + F_{k+1} - 1$

$n_{k+1} = 1 + n_k + n_{k-1} = 1 + (F_k + F_{k+1} - 1) + (F_{k-1} + F_k - 1)$
 $= F_{k+2} + F_{k+1} - 1$
 $= F_{k+3} - 1$ ✓

So $\forall n, n_n = F_{n+2} - 1$

C-4.7 Draw an example of an AVL tree such that a single remove operation could require $\Theta(\log n)$ trinode restructurings (or rotations) from a leaf to the root in order to restore the height-balance property. (Use triangles to represent subtrees that are not affected by this operation.)

let T_h be an arbitrary binary tree w/ n_h be minimum nodes of height h .

$\therefore T_0: \circ$

$T_1: \circ$ (Zero Rotation $\log_2^1 = 0$)

$T_2: \begin{matrix} \text{deletion} \\ \swarrow \searrow \\ \circ \end{matrix} \Leftrightarrow \begin{matrix} \swarrow \searrow \\ T_1 \quad T_0 \end{matrix} \rightarrow \begin{matrix} \swarrow \searrow \\ \circ \end{matrix} = A_1$ (One Rotation $\log_2^2 = 1$)

$T_3: \begin{matrix} \swarrow \searrow \\ T_2 \quad T_1 \end{matrix} \Rightarrow \begin{matrix} \swarrow \searrow \\ T_2 \quad A_0 \end{matrix} \Rightarrow A_1$ (One Rotation $\log_2^3 = 1.09 = 1$)

$T_4: \begin{matrix} \swarrow \searrow \\ T_3 \quad T_2 \end{matrix} \Rightarrow \begin{matrix} \swarrow \searrow \\ T_3 \quad A_1 \end{matrix} \Rightarrow A_2$ (Two series of rotation $\log_2^4 = 2$)

\vdots

$T_h: \begin{matrix} \swarrow \searrow \\ T_{h-1} \quad T_{h-2} \end{matrix}$ (\log_2^n rotation)

Here, h is bounded by $\log n$.

\therefore This is an informal proof to prove that for AVL tree remove() operation, it requires $\Theta(\log n)$

A-4.2 Suppose you are working for a fast-growing startup company, which we will call "FastCo," and it is your job to write a software package that can maintain the set, E , of all the employees working for FastCo. In particular, your software has to maintain, for each employee, x in E , the vital information about x , such as his or her name and address, as well as the number of shares of stock in FastCo that the CEO has promised to x . When an employee first joins FastCo they start out with 0 shares of stock. Every Friday afternoon, the CEO hosts a party for all the FastCo employees and kicks things off by promising every employee that they are getting y more shares of stock, where the value of y tends to be different every Friday. Describe how to implement this software so that it can simultaneously achieve the following goals:

- The time to insert or remove an employee in E should be $O(\log n)$, where n is the number of employees in E .
- Your system must be able to list all the employees in E in alphabetical order in $O(n)$ time, showing, for each x in E , the number of shares of FastCo the CEO has promised to x .
- Your software must be able to process each Friday promise from the CEO in $O(1)$ time, to reflect the fact that everyone working for FastCo on that day is being promised y more shares of stock. (Your system needs to be this fast so that processing this update doesn't make you miss too much of the party.)

A-4.2 : An AVL-tree type structure would be useful here. Let's get to some detail with that:

- Insertion & Deletion: is an $O(\log n)$ runtime operation, which is nice.
- Alphabetical order: Our tree sorts alphabetically, with earlier letters as left children and later ones as right children. In-order traversal is $O(n)$.
- Friday Bonus: Store a value "Promised" globally, and have each node point to it. Updating this value each Friday is very simple, and is $O(1)$. It's not different for everyone.

An AVL tree was chosen for this job since accessing in $O(\log n)$ time every time was a requirement. It makes printing in-order names fairly straight-forward, and makes sorting straight-forward. Overall, a fairly easy pick.