# From Counter-Model-based Quantifier Instantiation to Quantifier Elimination in SMT <br> CADE 27 

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## Acknowledgments

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## Outline

## Introduction

Quantifier Instantiation
E-matching
Conflict-Based Quantifier Instantiation
Model-based Quantifier Instantiation
Counter-Example-Guided Quantifier Instantiation
Quantifier Instantiation for Bit Vectors
Quantifier Instantiation for Floating Point Arithmetic
Conclusion

## Introduction

## Satisfiability Modulo Theories (SMT)

- Subfield of automated deduction focussing on specialized reasoning in certain logical theories
- Used in large and diverse number of applications
- Traditionally, strong on quantifier-free reasoning
- However, many applications require a mix of built-in and axiomatically defined symbols


## Need for Quantifiers in SMT Applications

## Automated Theorem Proving

Background axioms:

$$
\begin{aligned}
& \forall x \cdot g(e, x)=g(x, e)=x, \forall x \cdot g(x, i(x))=e \\
& \forall x \cdot g(x, g(y, z))=g(g(x, y), x)
\end{aligned}
$$

Software Verification
Unfolding: $\forall x$. $f \circ o(x)=\operatorname{bar}(x+1)$
Code contracts: $\forall x$. pre $(x) \Rightarrow \operatorname{post}(f(x))$
Frame axioms: $\forall x . x \neq t \Rightarrow A^{\prime}(x)=A(x)$
Function Synthesis
Synthesis conjectures: $\forall i$ :input. $\exists o$ :output. $R[0, i]$
Planning
Specifications: $\exists p:$ plan $\forall t:$ time $F[p, t]$

## Grand Challenge in Automated Deduction

Reasoning efficiently about theory symbols and quantifiers

## Reasoning with Theories and Quantifiers in FOL - ATP case

First-order theorem provers focus mostly on reasoning with quantifiers but some have been extended to theory reasoning:

## Vampire, E, SPASS, Beagle

## iProver

Princess

## Reasoning with Theories and Quantifiers in FOL - ATP case

First-order theorem provers focus mostly on reasoning with quantifiers but some have been extended to theory reasoning:

Vampire, E, SPASS, Beagle

- First-order resolution/superposition [Nieuwenhuis\&Rubio 1999, Prevosto\&Waldman 2006, Althaus et al. 2009, Baumgartner\&Waldman 2013]
- AVATAR [Voronkov 2014, Reger et al. 2015]
iProver
- InstGen calculus [Ganzinger\&Korovin 2003]

Princess

- Sequent calculus [Rümmer 2008]


## Reasoning with Theories and Quantifiers in FOL - SMT case

SMT solvers focus mostly on quantifier-free theory reasoning but some have been extended to reasoning with quantifiers:

## Alt-Ergo, CVC3, CVC4, veriT, Z3

## Reasoning with Theories and Quantifiers in FOL - SMT case

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Alt-Ergo, CVC3, CVC4, veriT, Z3

- Some superposition-based [deMoura et al. 2009]
- Most instantiation-based [Detlefs et al. 2005, deMoura et al. 2007, Ge et al. 2007, ...]


## SMT Solvers using Quantifier Instantiation

Traditionally:

- E-matching [Detlefs et al. 2005, Bjørner et al. 2007, CADE 2007]

More recently:

- Model-Based Instantiation [Ge et al. 2009, CADE 2013]
- Conflict-Based Instantiation [FMCAD 2014, TACAS 2017]
- Theory-specific Approaches
- Linear arithmetic [Bjørner 2012, CAV 2015, Janota et al. 2015]
- Bit-Vectors [Wintersteiger et al. 2013, Dutertre 2015]


## SMT Solvers using Quantifier Instantiation

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## Implemented in

- E-matching [Detlefs et al. 2005, $\begin{aligned} & \text { Alt-Ergo, CVC3-4, FX7, } \\ & \text { Simplify, veriT, Z3 }\end{aligned}$ More recently:
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- Linear arithmetic [Bjфrner 2 CVC4, Yices, veriT, Z3

2015]

## SMT Solvers for Ground Formulas



## SMT Solvers for Ground Formulas



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## SMT Solvers for Ground Formulas



## SMT Solvers for Ground Formulas



## SMT Solvers for Ground Formulas


when $F$ is unsatisfiable
unsat

## SMT Solvers for Ground Formulas



## Adding Quantifier Instantiation to SMT Solvers



$$
\{a \approx b \Rightarrow \underbrace{\forall x \forall y P(x) \vee Q(x, y)}_{a}, \ldots\}
$$



## Adding Quantifier Instantiation to SMT Solvers

## Formulas F



## Adding Quantifier Instantiation to SMT Solvers



## Adding Quantifier Instantiation to SMT Solvers



## Adding Quantifier Instantiation to SMT Solvers



Main Questions:

- Which instantiations likely lead to unsat?
- When can we answer sat?

Quantifier Instantiation

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## Instantiations by E-matching

Basic Idea: Choose instances based on pattern matching over E-graph of asserted ground (dis-)equalities [Nelson 80]

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Most widely used technique for refuting quantified problems in SMT

Exploited in:

- Software Verification (Boogie, Dafny, Leon, SPARK, Why3, ...)
- ...
- Automated Theorem Proving (Sledgehammer)


## E-matching's Challenge \#1 : Too Many Instances



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## E-matching's Challenge \#1 : Too Many Instances



## E-matching's Challenge \#1 : Too Many Instances



## E-matching's Challenge \#1 : Too Many Instances



Ground solver gets overloaded and times out

## E-matching's Challenge \#2: Incompleteness



## E-matching's Challenge \#2: Incompleteness



Unsatisfiability goes undetected

## Addressing E-matching's Challenges

Too many instances?

- Try conflict-based instantiation first [FMCAD 2014]


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Apply E-matching

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Too many instances?

- Try conflict-based instantiation first [FMCAD 2014]

Apply E-matching

No instances and input may be satisfiable?
$\triangleright$ Try model-based instantiation next [Ge\&deMoura 2009]

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## Conflict-Based Q।

Basic idea: Given $E \cup\{\forall x . \varphi[x], \ldots\}$,

- Try to find one conflict instance $\forall x . \varphi[x] \Rightarrow \varphi[t]$ such that

$$
E, \varphi[t] \models T \perp
$$

- If this is possible, E-matching is not needed


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$$

- If this is possible, E-matching is not needed

Leads to fewer instances, improving ability to answer unsat

## Impact of Conflict-Based QI in CVC4

CBQI (cvc4+ci) needs $10^{-1} \mathrm{X}$ instances to show unsat vs.
E-matching alone

(evaluation on SMT-LIB, TPTP, and Isabelle benchmarks [FMCAD 2014])

## CBQI's Challenge \#1: Finding Conflicting Instances

Our solution: Construct instances via a stronger version of matching [FMCAD 2014]

Intuition: with $\forall x . P[x] \vee Q[x]$ only match on $P[t]$ where

$$
P[t] \equiv_{\text {EUF }} \perp
$$

Formalized as calculus based on ground E-(dis)unification [TACAS 2017]

## CBQI's Challenge \#2: Theory Symbols

Difficulty of finding conflicting instances in the presence of theory symbols:

$$
E=\{f(1) \approx 5, \ldots\} \quad Q=\{\underbrace{\forall x, y \cdot f(x+y)>x+2 \cdot y}_{q}, \ldots\}
$$

## CBQ|'s Challenge \#2: Theory Symbols

Difficulty of finding conflicting instances in the presence of theory symbols:

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\begin{aligned}
E=\{f(1) \approx 5, \ldots\} \quad Q= & \{\underbrace{\forall x, y \cdot f(x+y)>x+2 \cdot y}_{q}, \ldots\} \\
& \downarrow \\
q \Rightarrow & f(1)>5
\end{aligned}
$$

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\downarrow \\
q \Rightarrow f(-3+4)>-3+2 \cdot 4
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\downarrow \\
q \Rightarrow f(-3+4)>-3+2 \cdot 4
\end{gathered}
$$

Generally, use fast and incomplete procedure for quantifiers + theories

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$$
E=\{\text { ground literals }\} \quad Q=\{\text { quantified formulas }\}
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## Model-based Quantifier Instantiation

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E=\{\text { ground literals }\} \quad Q=\{\text { quantified formulas }\}
$$

## Basic idea:

If E -matching saturates, build a candidate model I satisfying E

1. Check if $\mathcal{I}$ also satisfies $Q$
(using a ground satisfiability query)
2. If not, add instance of formula in $Q$ falsified by $I$
3. Repeat

## Model-based Quantifier Instantiation

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Gives ability to answer sat

## Impact of Model-Based QI in CVC4



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CVC4 Finite Model Finding + Model-Based instantiation [CADE 2013]
Scales only up to $\sim 150 \mathrm{~K}$ instances with a 30 s timeout

## Impact of Model-Based QI in CVC4



CVC4 Finite Model Finding + Model-Based instantiation [CADE 2013]
Scales to >2B instances with a 30s timeout, generates only a fraction of possible instances

## Model-Based QI: Challenges

How do we build interpretations $\mathcal{I}$ ?
Typically, build $I$ where every function is almost constant:
$f^{\mathcal{I}}:=\lambda x \cdot \operatorname{ite}\left(x=t_{1}, v_{1}, \operatorname{ite}\left(x=t_{2}, v_{2}, \ldots, \operatorname{ite}\left(x=t_{n}, v_{n}, v_{\text {def }}\right) \ldots\right)\right)$
This works well in EUF

## Model-Based Ql: Challenges

How do we build interpretations $\mathcal{I}$ ?
However, more sophisticated models are needed when other theories are involved:
$\forall x, y$ : Int. $(f(x, y) \geq x \wedge f(x, y) \geq y) \quad f^{\mathcal{I}}:=\lambda x, y:$ Int. ite $(x \geq y, x, y)$

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\forall x: \text { Int. } 3 \cdot g(x)+5 \cdot h(x)=x & \\
& g^{\mathcal{I}}:=\lambda x \cdot x-3 \cdot x \\
h^{\mathcal{I}}:=\lambda x .2 \cdot x
\end{array}
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$\forall x, y: \operatorname{Int} . u(x+y)+11 \cdot v(w(x))=x \quad ? ?$

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$$

$\forall x, y$ : Int. $u(x+y)+11 \cdot v(w(x))=x \quad$ ??

## More research is needed!

(may leverage recent advantages in syntax-guided synthesis?)

## Putting It All Together in CVC4



## General Challenge

> Reasoning efficiently about quantifiers + EUF + other theories is still hard!

## E-matching: Pattern selection, matching modulo theories

## Conflict-based: Matching is incomplete, entailment tests are

## Model-based: Models are complex, interpreted domains may

## General Challenge

Reasoning efficiently about quantifiers + EUF + other theories is still hard!

E-matching: Pattern selection, matching modulo theories
Conflict-based: Matching is incomplete, entailment tests are expensive

Model-based: Models are complex, interpreted domains may be infinite

## Bright Spot

Reasoning efficiently about quantifiers + EUF + other theories is not as bad

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Reasoning efficiently about quantifiers + EUF + other theories is not as bad

- Classic QE algorithms are decision procedures for LRA [Ferrante\&Rackoff 79, Loos\&Wiespfenning 93], LIA [Cooper 72], datatypes [Maher 1988], ...
- Some have been leveraged successfully in SMT applications [Monniaux 2010, Bjorner 2012, Reynolds et al. 2015, Bjorner\&Janota 2016, ...]


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Counter-Example-Guided Quantifier Instantiation

## Counterexample-Guided QI

## Counterexample-Guided Quantifier Instantiation

Variants implemented in number of tools:

- Z3 [Bjorner 2012, Bjorner\&Janota 2016]
- SPACER [Komuravelli et al. 2014] ${ }^{1}$
- Yices [Dutertre 2015]
- CVC4 [CAV 2015, CAV 2018]
- UFO [Fedyukovich et al. 2016] ${ }^{2}$
- Boolector [Preiner et al. 2017]

[^0]
## Counterexample-Guided Instantiation

Basic idea: Derived from quantifier elimination (e.g., for LIA):

$$
\exists x . \psi[x, y] \equiv \tau \psi\left[t_{1}, y\right] \vee \cdots \vee \psi\left[t_{n}, y\right] \text { for some } t_{1}, \ldots, t_{n}
$$

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$$

Enumerate instances via a counterexample-guided loop that is

1. terminating: generate a finite set $S \supseteq\left\{t_{1}, \ldots, t_{n}\right\}$
2. efficient in practice: typically terminates after $\ll n$ instances

## High-level View of Basic Procedure

```
basic-CEGQI(\forallx.\psi[x,y])
    G := \emptyset
    repeat
    if G is T-unsatisfiable
        return unsat
    else
    let G'}=G\cup{\neg\psi
    if is G}\mp@subsup{G}{}{\prime}\mathrm{ is T-unsatisfiable
        return sat
    else
            let I be a T-model of G'
            let t[y] = Sel(x,\psi,\mathcal{I},G)
            G:= G\cup{\psi[t,y]}
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## High-level View of Basic Procedure

basic-CEGQI $(\forall x . \psi[x, y])$
$G:=\emptyset$
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if $G$ is $T$-unsatisfiable return unsat

## (instances of $\forall x . \psi$ )

(because $\forall x . \psi \models_{T} G$ )
else
let $G^{\prime}=G \cup\{\neg \psi\}$
if is $G^{\prime}$ is $T$-unsatisfiable return sat else
let $\mathcal{I}$ be a $T$-model of $G^{\prime}$
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if is $G^{\prime}$ is $T$-unsatisfiable return sat else
let $\mathcal{I}$ be a $T$-model of $\mathrm{G}^{\prime}$
let $t[y]=\operatorname{Sel}(x, \psi, \mathcal{I}, G)$
$\mathrm{G}:=\mathrm{G} \cup\{\psi[t, y]\}$
(because $G \models_{T} \forall x . \psi$ )

Relies on selection function Sel

## Selection Function

Right selection functions make CEGQI a decision procedure for various theories $T$

Termination Requirements:
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## Termination Requirements:

1. Quantifier-free fragment of $T$ is decidable
2. For all qffs $\psi[x, y]$, selection function Sel is
2.1 finite:
there is a finite set $S_{\psi, x} \operatorname{s.t.} \operatorname{Sel}(x, \psi, \mathcal{I}, G) \in S_{\psi, x}$ for all legal $\mathcal{I}, G$

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2.2 monotonic:
if $G \not \models_{T} \psi[t, y]$ then $\operatorname{Sel}(x, \psi, \mathcal{I}, G) \neq t$ for all legal $\mathcal{I}, G$

## Selection Function

## Right selection functions make CEGQI a decision procedure for various theories $T$

## Termination Requirements:

1. Quantifier-free fragment of $T$ is decidable
2. For all qffs $\psi[x, y]$, selection function Sel is


$$
\text { if } G \models_{T} \psi[t, y] \text { then } \operatorname{Sel}(x, \psi, \mathcal{I}, G) \neq t \text { for all legal } \mathcal{I}, G
$$

## From CEGQI ...

$$
\begin{aligned}
& \text { basic-CEGQI }(\forall x . \psi[x, y]) \\
& G:=\emptyset \\
& \text { repeat } \\
& \text { if } G \text { is } T \text {-unsatisfiable } \\
& \text { return unsat } \\
& \text { else } \\
& \text { let } G^{\prime}=G \cup\{\neg \psi\} \\
& \text { if is } G^{\prime} \text { is } T \text {-unsatisfiable } \\
& \text { return sat } \\
& \text { else } \\
& \text { let } \mathcal{I} \text { be a } T \text {-model of } G^{\prime} \\
& \text { let } t[y]=\operatorname{Sel}(x, \psi, \mathcal{I}, G) \\
& G:=G \cup\{\psi[t, y]\}
\end{aligned}
$$

## From CEGQI to Quantifier Elimination

```
\(\operatorname{project}(x, \psi[x, y])\)
    \(G:=\emptyset\)
    repeat
        if \(G\) is \(T\)-unsatisfiable
        return \(\perp\)
    else
            let \(G^{\prime}=G \cup\{\neg \psi\}\)
            if is \(G^{\prime}\) is \(T\)-unsatisfiable
        return \(\wedge G\)
        else
            let \(\mathcal{I}\) be a \(T\)-model of \(\mathrm{G}^{\prime}\)
            let \(t[y]=\operatorname{Sel}(x, \psi, \mathcal{I}, G)\)
            \(G:=G \cup\{\psi[t, y]\}\)
```


## From CEGQI to Quantifier Elimination

```
project(x,\psi[x,y])
    G :=\emptyset
    repeat
        if G is T-unsatisfiable
        return }
    else
            let G' =G\cup{\neg\psi}
if is \(G^{\prime}\) is \(T\)-unsatisfiable return \(\wedge G\) else
let \(\mathcal{I}\) be a \(T\)-model of \(\mathrm{G}^{\prime}\)
let \(t[y]=\operatorname{Sel}(x, \psi, \mathcal{I}, G)\)
\(\mathrm{G}:=\mathrm{G} \cup\{\psi[t, y]\}\)
```


## Note:

Let $\varphi[y]=\operatorname{project}(x, \psi[x, y])$
Then $\varphi \equiv_{T} \forall x$. $\psi$

## From CEGQI to QE: General Case

Assumption: Consider only NNF formulas $\varphi$ containing a subformula $\forall x . \varphi_{1} \vee \varphi_{2}$ (resp. $\exists x . \varphi_{1} \wedge \varphi_{2}$ ) only if $\varphi_{1} \vee \varphi_{2}$ (resp. $\varphi_{1} \wedge \varphi_{2}$ ) is quantifier-free ${ }^{3}$

[^1]
## From CEGQI to QE: General Case

$\mathrm{qe}(x, \varphi) \quad:=$ if $\varphi$ is quantifier-free then

$$
\operatorname{project}(x, \varphi)
$$

else
match $\varphi$ with

$$
\begin{aligned}
\varphi_{1} \wedge \varphi_{2}: & \operatorname{qe}\left(x, \varphi_{1}\right) \wedge \operatorname{qe}\left(x, \varphi_{2}\right) \\
\exists z . \psi: & \neg \operatorname{qe}(x, \forall z . \operatorname{nnf}(\neg \psi)) \\
\forall z . \psi: & \operatorname{qe}(x, \operatorname{qe}(z, \psi))
\end{aligned}
$$

$\operatorname{nnf}(\varphi):=$ negation normal form of $\varphi$

## From CEGQI to QE: General Case

$\mathrm{qe}(\boldsymbol{x}, \varphi):=\quad$ if $\varphi$ is quantifier-free then
$\operatorname{project}(\boldsymbol{x}, \varphi)$
else
match $\varphi$ with
Note:

1. Avoiding full prenex normal form transformation increases scalability in practice
2. Implementation of general CEBQI in CVC4 is similar in spirit to qe but is fully integrated into SMT loop [FMSD 2017]

## Selection Functions

## Linear real arithmetic (LRA) [FMSD 2017]

- Maximal lower (minimal upper) bounds [Loos+Wiespfenning 1993]

$$
l_{1}<k, \ldots, l_{n}<k \quad \Longrightarrow \quad\left\{x \mapsto l_{\max }+d\right\}
$$

(may involve virtual terms $\delta, \infty$ )

- Interior point method [Ferrante\&Rackoff 1979]

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l_{\max }<k<u_{\min } \quad \Longrightarrow \quad\left\{x \mapsto\left(l_{\max }+u_{\min }\right) / 2\right\}
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## Selection Functions

## Linear real arithmetic (LRA) [FMSD 2017]

- Maximal lower (minimal upper) bounds [Loos+Wiespfenning 1993]

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l_{1}<k, \ldots, l_{n}<k \quad \Longrightarrow \quad\left\{x \mapsto l_{\max }+d\right\}
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(mav involve virtual terms $\delta$ n)

- Ir

Common termination argument: a finite number of instances cover all cases

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## Selection Functions

Finite domains

- Model-based value instantiations [Wintersteiger et al. 2013]

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D=\left\{d_{1}, \ldots, d_{n}\right\} \quad \Longrightarrow \quad\left\{x \mapsto d_{i}\right\}
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Fixed-size Bit vectors

- Value instantiations [Neimetz et al. 2016]

$$
0 \leq i<w \quad \Longrightarrow \quad\left\{x \mapsto 2^{i}\right\}
$$

- Invertibility conditions [CAV 2018]
(next slides)
Datatypes


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## Datatypes

- Stay tuned ...


## Outline

## Introduction <br> Quantifier Instantiation <br> E-matching <br> Conflict-Based Quantifier Instantiation <br> Model-based Quantiner Instantiation

Counter-Example-Guided Quantifier Instantiation
Quantifier Instantiation for Bit Vectors
Quantifier Instantiation for Floating Point Arithmetic
Conclusion

## Motivation

## Example: Prove unsatisfiability of

$$
\psi=\forall x \cdot x+s \not \approx t
$$

with $x, s, t$ bit vectors of size $n$

It is crucial to find good set of instantiation candidates for $x$

## Motivation

Example: Prove unsatisfiability of

$$
\psi=\forall x \cdot x+s \not \approx t
$$

with $x, s, t$ bit vectors of size $n$
Naive approach: Enumerate $2^{n}$ possible values for $x$

## Motivation

## Example: Prove unsatisfiability of

$$
\psi=\forall x \cdot x+s \not \approx t
$$

with $x, s, t$ bit vectors of size $n$

Better approach:

1. Try to solve $\neg(x+s \not \approx t)$ for $x \quad$ (yielding $x=t-s$ )
2. Instantiate $\psi$ with computed symbolic solution


## Quantifier Instantiation for Bit Vectors

Idea: Compute symbolic solutions of bit vector constraints

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Problem: hard or impossible in general

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- Example: $2 \cdot x \approx 3$ is unsolvable


## Quantifier Instantiation for Bit Vectors

Idea: Compute symbolic solutions of bit vector constraints

Problem: hard or impossible in general
Our Answer:

1. Consider restricted case where $\varphi$ has the form

$$
x \diamond s \bowtie t \quad \text { or } \quad s \diamond x \bowtie t
$$

with $\bowtie$ relational operator and $x$ not in $s$ or $t$
2. Consider conditional symbolic solutions
(e.g., identify conditions under which $s \cdot x \approx t$ is solvable)

## Invertibility Condition

Exact condition under which a bit vector operation is solvable for some $x$

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Exact condition under which a bit vector operation is solvable for some $x$

Example: $x \cdot s \approx t$

- Invertibility condition: $(-s \mid s) \& t \approx t$
$\cdot(-s \mid s) \& t \approx t \equiv_{B V} \exists x \cdot x \cdot s \approx t$



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Exact condition under which a bit vector operation is solvable for some $x$

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- Invertibility condition: $(-s \mid s) \& t \approx t$
$\cdot(-s \mid s) \& t \approx t \equiv_{B V} \exists x \cdot x \cdot s \approx t$
$x \cdot s=t$ is solvable for $x$ iff
$s$ has fewer trailing zeroes than $t$


## Invertibility Condition

Exact condition under which a bit vector operation is solvable for some $x$

Example: $x \cdot s \approx t$

- Invertibility condition: $(-s \mid s) \& t \approx t$
$\cdot(-s \mid s) \& t \approx t \equiv_{\text {BV }} \exists x \cdot x \cdot s \approx t$


## Invertibility Conditions

- 162 IC's for: $\left\{\approx \not \approx \not \approx,<_{u}, \leq_{u},>_{u}, \geq_{u},<_{s}, \leq_{s},>_{s}, \geq_{s}\right\} \times$

$$
\{\sim, \&, \mid, \ll, \gg, \gg a,-,+, \cdot, \bmod , \div, \circ,[:]\}
$$

- 83 crafted manually
- 79 generated automatically with syntax-guided synthesizer

A Few Invertibility Conditions

| $\ell[x]$ | $\approx$ | $\nsim$ |
| :---: | :---: | :---: |
| $x \cdot s \bowtie t$ | $(-s \mid s) \& t \approx t$ | $\mathrm{s} \not \approx 0 \vee t \not \approx 0$ |
| $x \bmod s \bowtie t$ | $\sim(-s) \geq u t$ | $s \not \approx 1 \vee t \not \approx 0$ |
| $s \bmod x \bowtie t$ | $(t+t-s) \& s \geq u t$ | $s \not \approx 0 \vee t \not \approx 0$ |
| $x \div s \bowtie t$ | $(s \cdot t) \div s \approx t$ | $s \not \approx 0 \vee t \not \approx \sim 0$ |
| $s \div x \bowtie t$ | $s \div(s \div t) \approx t$ | $\begin{cases}s \& t \approx 0 & \text { for } \kappa(s)=1 \\ \top & \text { otherwise }\end{cases}$ |
| $x \& s \bowtie t$ | $t \& s \approx t$ | $s \not \approx 0 \vee t \not \approx 0$ |
| $x \mid s \bowtie t$ | $t \mid s \approx t$ | $s \not \approx \sim 0 \vee t \not \approx \sim 0$ |
| $x \gg s \bowtie t$ | $(t \ll s) \gg s \approx t$ | $t \not \approx 0 \vee s<u \kappa(s)$ |
| $s \gg x \bowtie t$ | $\bigvee_{i=0}^{\kappa(s)} s \gg i \approx t$ | $s \not \approx 0 \vee t \not \approx 0$ |
| $x \gg a S \bowtie t$ | $\begin{aligned} & (s<u \kappa(s) \Rightarrow(t \ll s) \gg a s \approx t) \wedge \\ & (s \geq u \kappa(s) \Rightarrow(t \approx \sim 0 \vee t \approx 0)) \end{aligned}$ | T |
| $s \gg a \times \bowtie t$ | $\bigvee_{i=0}^{\kappa(s)} s \ggg_{a} i \approx t$ | $\begin{aligned} & (t \not \approx 0 \vee s \not \approx 0) \wedge \\ & (t \not \approx \sim 0 \vee s \not \approx \sim 0) \end{aligned}$ |

## A Few More Invertibility Conditions



## From Invertibility Conditions to Symbolic Instantiations

Hilbert choice functions $\varepsilon X . \varphi$

- Represents a solution for $\varphi$ if there is one
- Represents arbitrary value, otherwise

Embed invertibility conditions into choice functions

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BV literal:
Inv. condition:
Symbolic solution:
$l[x]=x \diamond s \bowtie t$
$I_{x}$
عy. $\left(I C_{x} \Rightarrow l[y]\right)$

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$$
\begin{array}{ll}
\text { BV literal: } & l[x]=x \diamond S \bowtie t \\
\text { Inv. condition: } & I C_{x} \\
\text { Symbolic solution: } & \varepsilon y \cdot\left(I C_{x} \Rightarrow l[y]\right)
\end{array}
$$

Note 1: Choice function expresses all conditional solutions with a single term

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Hilbert choice functions $\varepsilon x . \varphi$

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Embed invertibility conditions into choice functions

BV literal:
Inv. condition:
Symbolic solution: $\varepsilon y$. $\left(I C_{x} \Rightarrow l[y]\right)$

Note 2: The $\varepsilon$ binder can be later eliminated from instances by Skolemization:
$\varphi\left[\varepsilon y .\left(I C_{x} \Rightarrow l[y]\right)\right] \longrightarrow \varphi[k] \wedge\left(I C_{x} \Rightarrow I[k]\right)$

## More General Case by Example: $\forall x .\left(s_{2}+x\right) \cdot s_{1} \leq{ }_{u} t$



1. Pick variable to solve for $(x)$
2. Compute inverse/IC's along path to $x$

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3. Solve $z \cdot s_{1}>{ }_{u} t$ for $z$

$$
\begin{aligned}
I C_{z} & =t<u-s \mid s \\
z & =\varepsilon y \cdot \mid C_{z} \Rightarrow y \cdot s_{1}>_{u} t
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Instantiation for $x: \varepsilon y \cdot\left(t<u-s \mid s \Rightarrow s_{1} \cdot y>{ }_{u} t\right)-s_{2}$

## Multiple Variable Occurrences

Non-linear constraints (multiple occurrences of a variable)

- Try to linearize with rewriting/normalization

$$
\text { e.g., } x+x+s \approx t \longrightarrow 2 \cdot x+s \approx t
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- Otherwise, replace extra occurrences of $x$ with value in current model $\mathcal{I}$
e.g., $x \cdot x+s \approx t \longrightarrow x \cdot x^{I}+s \approx t$


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$$
\text { e.g., } x \cdot x+s \approx t \longrightarrow x \cdot x^{\mathcal{I}}+s \approx t
$$

- Future work: Use SyGuS to synthesize IC's for non-linear cases


## Experimental Results

|  | CVC4 $_{\text {base }}$ | Q3B | Boolector | Z3 | CVC4 $_{\text {ic }}$ |
| ---: | :---: | :---: | :---: | :---: | :---: |
| keymaera (4035) | 3823 | 3805 | 4025 | 4031 | 3993 |
| psyco (194) | 194 | 99 | 193 | 193 | 190 |
| scholl (374) | 239 | 214 | 289 | 271 | 246 |
| tptp (73) | 73 | 73 | 72 | 73 | 73 |
| uauto (284) | 112 | 256 | 180 | 190 | 274 |
| wintersteiger (191) | 168 | 184 | 154 | 162 | 168 |
| Total (5151) | 4609 | 4631 | 4913 | 4920 | 4944 |

Limits: 300 seconds CPU time limit, 100G memory limit CVC4 ${ }_{i c}$ won division BV at SMT-COMP 2018

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Similar approach can be applied to Floating Points [CAV 2019]

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However, invertibility conditions are much more complex (found 167/188 IC's so far)

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(Shown for FP[3,5])
(white dot = IC is sat, black dot = IC is unsat)

## Invertibility Conditions for Floating Point Arithmetic

Similar approach can be applied to Floating Points [CAV 2019]
However, invertibility conditions are much more complex

(a) $(t \stackrel{R T N}{-} s)+s \approx t \vee t \approx s \vee\left(t^{R}-s\right)+s \approx t$
(b) $t \approx(t \stackrel{R T P}{\div} s)^{R} \cdot s \vee t \approx(t \stackrel{R T N}{\div} s)^{R} \cdot s \vee(s \approx \pm \infty \wedge t \approx \pm \infty) \vee(s \approx \pm 0 \wedge t \approx \pm 0)$

## Invertibility Conditions for Floating Point Arithmetic

$$
\begin{aligned}
& \exists x \cdot x+s \approx t \\
& \equiv_{\mathrm{BV}} \\
& (t \stackrel{R T N}{-} s) \stackrel{R}{+} s \approx t \quad v \quad t \approx s \quad \vee \quad(t \stackrel{R T P}{-} s) \stackrel{R}{+} s \approx t
\end{aligned}
$$

## Invertibility Conditions for Floating Point Arithmetic

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\begin{aligned}
& \exists x \cdot x^{R}+s \approx t \\
& \equiv_{\mathrm{BV}} \\
& (t \stackrel{R T N}{-} s) \stackrel{R}{+} s \approx t \quad v \quad t \approx s \quad \vee \quad(t \stackrel{R T P}{-} s) \stackrel{R}{+} s \approx t \\
& \text { rounding towards } \\
& \text { negative } \\
& x=t \xrightarrow{\text { RTP }} s \\
& \text { corner case } \\
& \text { (zero) } \\
& x= \pm 0 \\
& \text { rounding towards } \\
& \text { positive } \\
& x=t \stackrel{R T N}{-} s
\end{aligned}
$$

## Conclusion

## Conclusion

- SMT solvers do not operate just on ground formulas
- There has been considerable progress on reasoning with quantified formulas in SMT
- Still, a lot more needs to be done
- The quest of combining theory and quantifier reasoning efficiently is still on
- The CVC4 team is at the forefront of this quest
- CVC4 is available at http://cvc4.cs.stanford.edu/
- Join our quest!
- We are hiring PhD students and postdocs and welcome collaborations with other groups


## Related and Future Work

- Symmetry elimination
- Proofs
- Synthesis
- Abduction

Thank you


[^0]:    ${ }^{1}$ Originally using Z3 as backend, now integrated in Z3
    ${ }^{2}$ Using Z3 as backend

[^1]:    ${ }^{3}$ For simplicity and wlog by (lazy) PNF transformation

